# The Hatch Act of 1887 (Regular Research Fund)

MODIFICATIONS: 10/25/2023 – This RFA has been modified from the original announcement. Edits are indicated using track changes and red font so the public/potential applicants can identify the changes within the solicitation.

Edits appear on pages(s): 1, 2, and 16

### **Fiscal Year 2024 Request for Applications**

**APPLICATION DEADLINE: October 16, 2023 November 6, 2023** 



United States
Department of
Agriculture

National Institute of Food and Agriculture

## INITIAL ANNOUNCEMENT NATIONAL INSTITUTE OF FOOD AND AGRICULTURE U.S. DEPARTMENT OF AGRICULTURE

The Hatch Act of 1887 (Regular Research Fund)

**Assistance Listing Number:** This program is listed in the Assistance Listings under 10.203.

**Dates**: Applications must be received by **5:00 p.m. Eastern Time on October 16, 2023**November **6, 2023**. Comments regarding this Request for Applications (RFA) are requested within six months from the issuance of this notice. Comments received after that date will be considered to the extent practicable.

Advancing Diversity, Equity, Inclusion, and Accessibility (DEIA): The National Institute of Food and Agriculture (NIFA) recognizes research, education, and extension efforts will have the greatest impacts when programs are grounded in DEIA. NIFA is committed to enhancing diversity, equity, inclusion, and accessibility of programs and encourages individuals, institutions, and organizations from underserved communities to apply to funding opportunities as lead, co-lead, or subaward recipient(s), and to engage as leaders in the peer panel review process to support the development of strong networks and collaborations. NIFA encourages applications that engage diverse communities and have broad impacts through research, education, extension, and integrated activities to address current and future challenges.

Stakeholder Input: NIFA seeks comments on all RFAs. NIFA considers comments to the extent possible when developing RFAs and uses comments to help meet the requirements of Section 103(c)(2) of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613(c)(2)). Applicants may submit written comments by the deadline set forth in the Dates portion of this notice to Policy@usda.gov. Please use the following subject line: Hatch Regular Research Fund RFA.

#### **Executive Summary**

NIFA requests applications for the Hatch Act of 1887 (Regular Research Fund) program for fiscal year (FY) 2024 to assist State Agricultural Experiment Stations, the District of Columbia, and the U.S. Insular areas in conducting agricultural research programs. These activities are broad and include research on all aspects of agriculture, including soil and water conservation and use; plant and animal production, protection, and health; processing, distribution, safety, marketing, and utilization of food and agricultural products; forestry, including range management and range products; multiple use of forest rangelands, and urban forestry; aquaculture; home economics and family life; human nutrition; rural and community development; sustainable agriculture; molecular biology; and biotechnology. Research may be conducted on problems of local, state, regional, or national concern.

This RFA is being released prior to the enactment of a FY 2024 Appropriations Act. Subject to any FY 2024 Continuing Resolution, NIFA will distribute funds to the institutions according to the amounts allocated in FY 2023. NIFA will adjust the allocations for FY 2024 once appropriations are finalized. The amount available for support of this program in FY 2023 was \$185,800,581. Appendix A of this RFA includes specific FY 2023 distributions. NIFA will issue FY 2024 payments subject to the availability of funds and the applicant having met the previous year's reporting requirements. Part III, B of this RFA identifies if matching is a requirement and, if so, applicants should pay particular attention to the matching instructions included in Part IV, B, 6.

This notice identifies the objectives for the Hatch Act of 1887 (Regular Research Fund) projects/programs, the eligibility criteria for projects/programs and applicants, and the application forms and associated instructions.

The USDA NIFA home page for the Hatch Act of 1887 (Regular Research Fund) program is: <a href="https://www.nifa.usda.gov/grants/programs/capacity-grants/hatch-act-1887">https://www.nifa.usda.gov/grants/programs/capacity-grants/hatch-act-1887</a>.

#### **2024 UPDATES**

- 1. The <u>Digital Accountability and Transparency Act of 2014. P.L. 113-101</u> (DATA Act) was signed into law May 9, 2014. The Act requires federal award information be made available for public view on <u>USAspending.gov</u>. The requirements within the Project/Performance Site Location (s) and Project Abstract forms, OMB Number 4040-0010, have been updated to provide clarity on information needed for data integrity. Additional information regarding this Act can be found at <u>Data Act Resources for Web</u>.
- 2. Felony Convictions or Tax Delinquent Status this mandatory information must be appropriately answered within the "Representations and Certifications" section of the SAM.gov registration. Signed PDF forms are no longer acceptable.

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PART I – FUNDING OPPORTUNITY DESCRIPTION

#### A. Legislative Authority and Background

The Hatch Act of 1887 (<u>7 U.S.C. 361a et seq</u>) provides the basis for federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 states, the District of Columbia, and the Insular Areas.

State Agricultural Experiment Stations are eligible for funds appropriated under this Act according to the following formula: The previous year's base plus the current year increase as follows: three percent for federal administration, 20 percent equally, 26 percent in an amount which bears the same ratio to the total amount to be allotted as the rural population of the State bears to the total rural population of all the states as determined by the last preceding decennial census; 26 percent in an amount which bears the same ratio to the total amount to be allotted as the farm population of the State bears to the total farm population of all the states as determined by the last preceding decennial census; and 25 percent for the Hatch Multistate Research Fund (which is allocated under a separate formula).

#### **B.** Purpose

The purpose of this funding is to conduct agricultural research programs at State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas. Hatch activities are broad and include research on all aspects of agriculture, including soil and water conservation and use; plant and animal production, protection, and health; processing, distribution, safety, marketing, and utilization of food and agricultural products; forestry, including range management and range products; multiple use of forest rangelands, and urban forestry; aquaculture; home economics; human nutrition; rural and community development as it relates to agriculture; sustainable agriculture; and molecular biology and biotechnology inasmuch as work can contribute to agricultural advancements. Research may be conducted on issues of local, state, regional, or national concern.

The Hatch Act of 1887 is aligned with the following <u>USDA Strategic Plan FY 2022-2026</u> goals:

- 1. **Strategic Goal 1**: Combat Climate Change to Support America's Working Lands, Natural Resources and Communities
- 2. **Strategic Goal 2**: Ensure America's Agricultural System is Equitable, Resilient, and Prosperous
- 3. **Strategic Goal 3**: Foster an Equitable and Competitive Marketplace for All Agricultural Producers
- 4. Strategic Goal 4: Provide All Americans Safe, Nutritious Food
- 5. **Strategic Goal 5**: Expand Opportunities for Economic Development and Improve Quality of Life in Rural and Tribal Communities
- 6. **Strategic Goal 6**: Attract, Inspire, and Retain an Engaged and Motivated Workforce that's Proud to Represent USDA

The Hatch Act of 1887 is aligned with the following <u>USDA Science and Research Strategy</u>, <u>2023–2026</u> goals:

- Priority 1: Accelerating Innovative Technologies & Practices
   Priority 2: Driving Climate-Smart Solutions
   Priority 3: Bolstering Nutrition Security & Health
   Priority 4: Cultivating Resilient Ecosystems
   Priority 5: Translating Research Into Action

#### PART II – AWARD INFORMATION

#### A. Available Funding

This RFA is being released prior to the enactment of a FY 2024 Appropriations Act. Subject to any FY 2024 Continuing Resolution, NIFA will distribute funds to the institutions according to the amounts allocated in FY 2023. NIFA will adjust the allocations for FY 2024 once appropriations are finalized. The amount available for support of this program in FY 2023 was \$185,800,581.

The <u>Automated Standard Applications for Payment System (ASAP)</u>, operated by the Department of Treasury's Bureau of the Fiscal Service, will be the payment system for all NIFA grant awards. Questions or concerns regarding the ASAP system and its functionality or use should be sent to <u>ASAPCustomerService@usda.gov</u> for the quickest resolution.

#### **B.** Type of Application

Applications for FY 2024 are limited to "new" applications.

#### C. Responsible and Ethical Conduct of Research

In accordance with sections 2, 3, and 8 of <u>2 CFR Part 422</u>, institutions that conduct USDA-funded extramural research must foster an atmosphere conducive to research integrity, bear primary responsibility for prevention and detection of research misconduct, and maintain and effectively communicate and train their staff regarding policies and procedures. In the event an application to NIFA results in an award, the Authorized Representative (AR) assures, through acceptance of the award that the institution will comply with the above requirements. Award recipients shall, upon request, make available to NIFA the policies, procedures, and documentation to support the conduct of the training. See <u>Responsible and Ethical Conduct of Research</u> for further information.

#### PART III - ELIGIBILITY INFORMATION

#### A. Eligible Applicants

The following list of State Agricultural Experiment Stations located in the 50 States, the District of Columbia, and the Insular Areas in accordance with the Hatch Act of 1887 (7 U.S.C. 361a-i) are eligible for the Hatch Regular Research Fund. Award recipients may subcontract to organizations not eligible to apply provided the subcontracts are necessary for the conduct of the project. Failure to meet an eligibility criterion by the time of application deadline may result in the application being excluded from consideration, or even though an application may have been reviewed, will preclude NIFA from making an award.

- 1. University of Alaska Fairbanks
- 2. Auburn University
- 3. University of Arkansas
- 4. American Samoa
- 5. University of Arizona
- 6. Regents of the University of California
- 7. Colorado State University
- 8. University of Connecticut
- 9. Connecticut Agricultural Experiment Station
- 10. University of the District of Columbia
- 11. University of Delaware
- 12. University of Florida
- 13. University of Georgia
- 14. University of Guam
- 15. University of Hawaii
- 16. Iowa State University
- 17. Regents of the University of Idaho
- 18. University of Illinois
- 19. Purdue University
- 20. Kansas State University
- 21. University of Kentucky
- 22. Louisiana State University
- 23. University of Massachusetts
- 24. University of Maryland
- 25. University of Maine
- 26. Michigan State University
- 27. Regents of the University of Minnesota
- 28. University of Missouri
- 29. Northern Marianas College
- 30. Mississippi State University
- 31. Montana State University
- 32. North Carolina State University
- 33. North Dakota State University
- 34. University of Nebraska

- 35. University System of New Hampshire
- 36. Rutgers, The State University of New Jersey
- 37. New Mexico State University
- 38. Nevada Agricultural Experiment Station
- 39. Cornell University Ithaca
- 40. Cornell University Geneva
- 41. Ohio State University
- 42. Oklahoma State University
- 43. Oregon State University
- 44. Pennsylvania State University
- 45. College of Micronesia
- 46. University of Puerto Rico
- 47. University of Rhode Island
- 48. Clemson University
- 49. South Dakota State University
- 50. University of Tennessee
- 51. Texas A&M AgriLife Research
- 52. Utah State University
- 53. Virginia Polytechnic
- 54. University of the Virgin Islands
- 55. University of Vermont
- 56. Washington State University
- 57. University of Wisconsin
- 58. West Virginia University
- 59. University of Wyoming

#### **B.** Cost Sharing or Matching

Section 3(d)(1) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(1)) is summarized with regard to institutions where no allotment shall be made to a State under subsection (b) or (c) of the regulation, and no payments from the allotment provided shall be made to a State, in excess of the amount the State makes available out of non-federal funds for agricultural research and the establishment and maintenance of facilities for the performance of the research. However, section 3(d)(4) (7 U.S.C. 361c(d)(4)) provides the following: the insular areas of the Commonwealth of Puerto Rico, Guam, and the Virgin Islands of the United States and the District of Columbia shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas (7 U.S.C. 3103(12)), respectively, and the District of Columbia under this section. The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area or the District of Columbia will be unlikely to meet the matching requirement for the fiscal year.

Matching Funds Requirements for Agricultural Research and Extension Capacity Funds at 1890 Land-grant Institutions, including Central State University, Tuskegee University, and West Virginia State University, and at 1862 Land-grant Institutions in Insular Areas are published at 7 CFR 3419.

7 CFR 3419.6 states that "The required matching funds for the capacity programs must be used by an eligible institution for the same purpose as Federal award dollars: Agricultural research and extension activities that have been approved in the plan of work required under sections 1445(c) and 1444(d) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, section 7 of the Hatch Act of 1887, and section 4 of the Smith-Lever Act. For all programs, tuition dollars and student fees may not be used as matching funds." Projects funded by non-federal funds intended to be utilized as match are subject to the provisions found in 2 CFR Part 200 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, the NIFA Federal Assistance Policy Guide, and the NIFA Capacity Specific Terms and Conditions.

<u>7 CFR 3419.3</u>, Limited Waiver Authority, and <u>7 CFR 3419.4</u>, applications for waivers for both 1890 Land-grant Institutions and 1862 Land-grant Institutions in insular areas, address the criteria an institution must meet to be granted a waiver as well as the process and supporting documentation required for submitting a waiver. See <u>Part IV</u>, <u>B</u>, <u>6 b</u> of this RFA for additional instructions about how to request a waiver. Until a matching waiver is approved by NIFA, the amount of the required matching funds identified in the application as well as matching documentation must be the same as listed in Appendix A. Documentation of any other amount will cause the application to be returned and corrected resubmission will be required.

#### PART IV – APPLICATION AND SUBMISSION INFORMATION

#### A. Method of Application

Applicants must apply to this RFA electronically; no other method or response is accepted. The electronic application for this RFA and additional resources are available on <u>Grants.gov</u> and <u>Grants 101</u>. The tables below provide instructions on how to obtain an electronic application.

The <u>NIFA Grants Application Guide</u> contains necessary, detailed information to prepare and submit Grant applications to NIFA through Grants.gov and is contained within the specific funding opportunity package. When applying for a NIFA award, it is important to reference the version of the guide that is included in the specific funding opportunity application package.

**Table 1:** Steps to Obtain Application Materials

Steps	Action
Step One: Register	New Users to Grants.gov must register early with Grants.gov prior to submitting an application (Register Here).
Step Two: Download Adobe	Download and Install <u>Adobe Reader</u> (see <u>Adobe Software Compatibility</u> for basic system requirements).
Step Three: Find Application	Using this funding opportunity number USDA-NIFA-10203-HFPXXXXX-24-0001, search for application here: Opportunity Package.
Step Four: Assess Readiness	Contact an AR prior to starting an application to assess the organization's readiness to submit an electronic application.

Table 2: Help and Resources

Grants.gov Support	NIFA Support
Grants.gov Online Support	Email: grantapplicationquestions@usda.gov
Telephone support: 800-518-4726 Toll-	
Free or 606-545-5035	Key Information: Business hours: Monday thru
Email support: support@grants.gov	Friday, 7a.m. – 5p.m. ET, except <u>federal holidays</u>
Self-service customer-based support:	
Grants.gov iPortal	
Key Information: Customer service	
business Hours 24/7, except <u>federal</u>	
<u>holidays</u> .	

#### **B.** Content and Form of Application Submission

The NIFA Grants Application Guide is part of the corresponding application package for this RFA. The RFA overrides the NIFA Grants Application Guide if there is a discrepancy between the two documents. Applicants that do not meet the application requirements, to include partial applications, risk being excluded from NIFA's review. Applicants must refer to the Grants.gov application number when corresponding with NIFA.

#### Each grant application must contain the following:

- 1. Application for Federal Assistance, Form SF-424 R&R Cover Sheet
- 2. Project/Performance Site Location(s) Form

- 3. Project Abstract Form (include attachment in PDF)
- 4. Key Contacts Forms
- 5. NIFA Supplemental Information Form
- 6. Attachment Form (including attachments in PDF)

The <u>NIFA Grants Application Guide</u> includes instructions for additional forms not needed for an application in response to this RFA. Complete and submit only the forms associated with the funding opportunity package and as instructed below.

**Table 3:** Key Application Instructions

Instruction	References (All references are to the <u>NIFA Grants</u> <u>Application Guide</u> )			
Attachments must be in a portable document format (PDF) format.	Part IV			
Check the manifest of submitted files to verify attachments are in the correct format.	Part IV			
Conduct an administrative review of the application before submission.	Part IV			
Follow the submission instructions.	Part IV			
Provide an accurate email address, where designated, on the SF-424 R&R.	Part V			
Contact the <u>Grants.gov</u> helpdesk for technical support and keep a record of the correspondence.	N/A			
Contact NIFA if applicant does not receive correspondence from NIFA regarding an application within 30 days of the application deadline.	N/A			

#### 1. SF 424 R&R Cover Sheet

Information related to the fields within this form is available in Part V of the <u>NIFA Grants Application Guide</u>. The following are additional instructions. The information included in <u>Appendix A</u> is based on FY 2023 applications received for this program. If your organization intends to apply using information other than what appears in Appendix A, please contact <u>capacitygrantquestions@usda.gov</u> prior to submitting.

- **a.** Field 11. Descriptive Title of Applicant's Project Limit title to 200 characters including spaces. Do not use acronyms or special characters.
- **b.** Field 15. Estimated Project Funding For items a and b, enter your institution's FY 2023 allocation and matching as listed in Appendix A of this RFA.
- c. Field 19. Authorized Representative Signature of Authorized Representative in this box shall match information completed within this section. If signature is a designee or

other party, a letter on institution letterhead designating the signature authority shall be included with the submission as part of the attachments within the Attachment Form. This application may be returned if this document is missing.

#### 2. Project/Performance Site Location(s) Form

Information related to the requirements on this form is available in Part V of the <u>NIFA Grants Application Guide</u>.

#### 3. Project Abstract Form

The Project Abstract information is important to Data Act requirements for tracking federal spending more effectively with agency programs. The abstract submitted should follow these requirements:

- **a.** Should be one continuous paragraph (no hard returns and no bullet points)
- **b.** Should be 4,000 characters or less (including spaces, dashes, etc.)
- c. Only the following characters should be used within the abstract if necessary:  $.!@\#\$\%^\&*;<-:=+[]\{\}()'\land|$
- **d.** Abstract information should include the following items to describe the work:
  - i. A plain language description of the purpose of the federal award.
  - ii. Activities to be performed with the funds from the award.
  - iii. Deliverables and expected outcomes from the activities.
  - iv. Intended beneficiaries of the activities.
  - v. Any subrecipient activities if known/specified at the time of the award.
- **e. Remember**, whatever information is provided in this abstract will be displayed to the public via the <u>USA Spending</u> website. Therefore, do not include any proprietary or confidential information.

#### 4. Key Contacts Form

Information related to the requirements of this form is available in Part V of the NIFA Grants Application Guide. The following are additional instructions. The Project Role field of the Key Contact form must contain the exact roles indicated below for each contact listed. Enter the Contact's official job title in the "title" field of the form. Do not enter an official job title in the Project Role field.

- **a.** Contact 1 Project Role "Director": Enter the contact information for the representative assigned as the Director.
- **b.** Contact 2 Project Role "Director's Designee": Enter the contact information for the representative assigned as the Director's designee, if one is appointed.
- c. Contact 3 Project Role "Business Office Manager/Administrator": Enter the contact information for the representative assigned as the Business Office Manager/Administrator.
- **d.** Contact 4 Project Role "ASAP Draw Administrator": Enter the contact information for the representative assigned to process the ASAP grant award withdrawals.

#### 5. Supplemental Information Form

Information related to the requirements of this form is available in Part V of the <u>NIFA Grants Application Guide</u>. The following are additional instructions.

- **a.** Field 1. Funding Opportunity Name enter in this field "The Hatch Act of 1887 Regular Research Fund."
- **b.** Field 2. Program to which you are applying enter this information into the fields within this section:
  - i. Program Code Name Hatch Formula Program
  - ii. Program code HFP
- **c. Field 3. Type of Applicant** Enter the Institution type as registered in SAM.gov.
- **d.** Field 6. ASAP Recipient Information Check "Yes" and enter in the Institution ASAP Recipient ID corresponding with the Institution receiving the award.
- e. Field 8. Conflict of Interest List -Do <u>not</u> attach a conflict-of-interest list, leave this section blank.

#### 6. Attachment Form

Information related to the requirements on this form is available in Part V of the <u>NIFA Grants Application Guide</u>. The following are additional instructions.

**a. Matching Requirement** - If matching funds are required as specified under Part III, B of this RFA, then matching is an eligibility criterion, and applicants must include the non-federal source documentation with the application. NIFA will consider this documentation when ascertaining final matching requirements or in determining if required matching can be waived. NIFA retains the right to make final determinations regarding matching requirements.

#### The matching documentation shall include:

- i. the non-federal sources (e.g., the type as third party or cash; the source as state or non-state, county, or non-tax) and the amount from each source;
- ii. the program name;
- iii. the fiscal year; and
- iv. signed by an authorized representative authority of the institution.

The match information must be in PDF (see Part IV of the <u>NIFA Grants Application</u> <u>Guide</u> for attachment requirements) and included as a separate attachment identified on the Attachment Form.

To establish the value of applicant contributions in accordance with applicable cost principles, refer to <u>2 CFR Part 200</u>, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" for further guidance and other requirements relating to matching and allowable costs.

b. Matching Funds Waiver Request (Optional) - If your institution is applying for a matching funds waiver, prepare a request in PDF for inclusion within your submission and identify on the Attachment Form (see Part IV of the NIFA Grants Application Guide for attachment requirements). The revised Final Rule 3419, published May 11, 2018, contains information on applications for waivers for both 1890 Land-grant Institutions and 1862 Land-grant Institutions in insular areas.

The following information shall be included with your submission:

- i. a request to waive matching requirements under this RFA including the name of the eligible institution and the type of Federal capacity funds (i.e., research, extension, Hatch, Smith-Lever);
- ii. a statement of the fiscal year(s) for which the waiver is requested;
- iii. a statement of the exact dollar amount of waiver being requested by fiscal year and how the amount was computed (by matching fund category);
- iv. a statement of why the waiver is requested using criteria listed in the revised Final Rule 7 CFR 3419.3;
- v. documentation supporting the need for the waiver (documentation must be current, within the past two years from the date of the letter requesting the waiver);
- vi. Institution's change in State Appropriation documentation such as communications and/or final budget information from the State and any other communications regarding changes in other non-federal funding resulting in the need for the waiver; and
- vii. a statement regarding the University's efforts to meet future matching needs.

Other information submitted may include, but is not limited to: a statement from the State asserting what portion of the required match amount the State contribution will be; a schedule of projected cash flows showing what portion of the matching funds the Institution will have available; letters from the State or Institution budget personnel; and/or newspaper articles providing supporting information. Newspaper articles may be provided as supporting documentation but will not be considered as primary documentation. In requesting the waiver, the Institution must consider the availability of all permissible forms of matching in detail. Approval of your waiver will not be determined at the time of the application.

#### C. Submission Dates and Times

Instructions for submitting an application are included in Part IV of the <u>NIFA Grants</u> Application Guide.

Applications must be received by Grants.gov by **5 p.m. Eastern Time on October 16, 2023**November **6, 2023**. Applications received after this deadline will normally not be considered for funding.

In case of trouble submitting an application to Grants.gov, you should FIRST contact the <u>Grants.gov Help Desk</u> to resolve any problems. Keep a record of any such correspondence. See <u>Part IV</u>, A of this RFA for Grants.gov contact information.

You may check the status of your application in the <u>ezFedGrants</u> portal or by looking in Grants.gov.

If you are not able to validate that NIFA received your application by October 30, 2023

November 20, 2023., contact the Agency Administrative Contact identified in Part VII of this RFA. Failure to do so may result in the application not being processed and funding being held.

You should cite your <u>Grants.gov</u> tracking number on all inquiries until an award number is assigned.

#### **D.** Funding Restrictions

#### 1. Approved NIFA Hatch Regular Research Fund Projects

Hatch Regular Research Funds may only be used on approved Hatch projects, which could include Hatch Multistate Research Funds projects (if funding from the award has been expended).

#### 2. Indirect Costs and Tuition Remission

In accordance with section 1473 of NARETPA (<u>7 U.S.C. 3319</u>), indirect costs and tuition remission are unallowable as Hatch Regular Research Fund capacity grant expenditures. Further, costs that are a part of an institution's indirect cost pool (e.g., administrative or clerical salaries) may not be reclassified as direct costs for the purpose of making them allowable.

#### 3. Carryover of Funds

Hatch funds are expected to be fully expended in the fiscal year of appropriation; however, up to 100 percent of funds may be carried over for up to one year after the end of the year for which they were appropriated. No prior approval is required.

#### 4. Retirement Contributions

Employer contributions to land-grant college retirement systems are limited to 5 percent of that portion of the salaries paid, under this award, to employees who participate in the system (7 U.S.C. 331) and are subject to the other conditions in 7 U.S.C. 331. Note the 5 percent limitation does NOT apply to any state or individual contribution. Contributions of funds under this award may not exceed the contributions from non-Federal sources made by or on behalf of the individual concerned. See Section VI of the NIFA Federal Assistance Policy Guide for additional information.

#### **E.** Other Submission Requirements

Unique Entity Identifier (UEI) and System for Award Management (SAM) – each applicant (unless excepted under 2 CFR 25.110(b) or (c), or has an exception approved by the federal awarding agency under 2 CFR 25.110(d)) is required to:

- 1. be registered in SAM before submitting an application;
- 2. provide a valid UEI number in its application; and
- 3. continue to always maintain an active SAM registration with current information at all times during which it has an active federal award or an application or plan under consideration by a federal awarding agency.

If you have not complied with all applicable UEI and SAM requirements, you may be considered unqualified to receive an award.

Your SAM registration information determines your organization ID in the <u>ezFedGrants</u> system, and the information must match your ASAP recipient UEI and EIN.

#### PART V – APPLICATION REVIEW REQUIREMENTS

#### A. General

NIFA will review submitted documents to determine if all program, financial, and administrative requirements are met and are current. Missing or incomplete information within an application may require additional submittals and deletion of application(s) already submitted.

#### **B.** Organizational Management Information

Specific applicant organizational management information shall be submitted on a one-time basis, with updates submitted as needed. If this information has not been submitted under this or another NIFA program, it is the responsibility of the organization to do so for determination of eligibility prior to the award of a grant identified under this RFA.

NIFA will provide the forms to fulfill these requirements as part of the Pre-Award process. Although an applicant may be eligible, there are factors that may exclude an applicant from receiving federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved, or a determination an applicant is not responsible based on submitted or discovered organizational management information.)

#### PART VI – AWARD ADMINISTRATION

#### A. General

Within the limit of funds available for such purpose, the NIFA awarding official shall make grants to those responsible, eligible applicants.

#### **B.** Award Notice

The notice of federal award is the authorizing document. The award document will provide pertinent instructions and information as described in <u>2 CFR 200.211</u> (see <u>NIFA's Terms and Conditions</u>). Awardees should ensure a copy of the Notice of Award, as well as all future amendments, are retained within their institutional systems.

#### C. Administrative and National Policy Requirements

Several federal statutes and regulations apply to grant applications considered for review and to project grants awarded under this program. These may include, but are not limited to, those listed at <u>USDA/NIFA Federal Regulations</u>. Unless specifically noted by statue or award-specific requirements, <u>NIFA Federal Assistance Policy Guide</u> applies to all NIFA awards.

#### D. Expected Program Outputs and Reporting Requirements

Institutions receiving Hatch Regular Research Fund funding from NIFA are required to submit the following reports:

- 1. FY 2025 Plan of Work (POW) update;
- 2. FY 2023 Annual Report of Accomplishments and Results (Annual Report);
- 3. Program/Project Initiation in the NIFA Reporting System (NRS);
- 4. Program/Project progress reports;
- 5. Project Financial Report;
- 6. OGFM Supplemental; and
- 7. Federal Financial Report (SF-425)

The FY 2025 POW update is due June 1, 2024, and the FY 2023 Annual Report is due by April 1, 2024. Program/Project Initiations and Progress Reports are to be completed by any institution who has been granted authority to use capacity dollars. NIFA is building an integrated reporting portal for research and extension grants. Information regarding requirements for submittals of reporting through the NRS are available at the NRS web page <a href="https://nifa.usda.gov/tool/pow-nifa-reporting-system.">https://nifa.usda.gov/tool/pow-nifa-reporting-system.</a>

A Project Financial Report must be submitted to NIFA annually for all eligible projects from the preceding fiscal year. A Project Financial Report is also required for expenditures on all state projects to be included in the non-federal funds and matching funds computation. Reports shall be made on the federal fiscal year basis.

Federal Financial Report (SF-425) – Federal Financial reporting via the SF-425, is due within the ezFedGrants portal, at minimum on an annual basis. Please see your Notice of Award (NOA) for information on additional SF-425's that may be required throughout the life of the award. Information on how to access the ezFedGrants portal can be found on the ezFedGrants

(eFG) Grants and Agreements System Overview page.

See <u>The Hatch Act of 1887</u> for additional details of reporting requirements.

#### PART VII – AGENCY CONTACTS

For questions regarding Hatch Regular Research Fund projects/program, please contact:

Vijay Nandula, National Program Leader Division of Plant Systems-Protection Institute of Food Production and Sustainability National Institute of Food and Agriculture U.S. Department of Agriculture

Telephone: 816-894-7229

E-mail: vijay.nandula@usda.gov

For general administrative application questions contact us at <u>Grantapplicationquestions@usda.gov.</u>

For questions relating to NRS forms and submissions, please contact the Planning, Accountability and Reporting Staff; Email: <a href="mailto:nrs@usda.gov">nrs@usda.gov</a>.

For questions relating to the 5-Year Plan of Work Update or the Annual Report of Accomplishments and Results, contact Planning, Accountability and Reporting Staff; Email: <a href="mailto:nrs@usda.gov">nrs@usda.gov</a>.

#### APPENDIX A

#### UNITED STATES DEPARTMENT OF AGRICULTURE

#### National Institute of Food and Agriculture FY 2023 Distribution of Funds to the State Agricultural Experiment Stations Authorized under the Hatch Act, as amended August 11, 1955 Appendix A – Final

Recipient Name	UEI Number	State	Allocation	Required Match
UNIVERSITY OF ALASKA FAIRBANKS	EDI EGGIOFEGA		<b>01.12</b> 0.0 <b>71</b>	Φ1 1 <b>2</b> 0 0 <b>7</b> 1
GRANTS & CONTRACTS	FDLEQSJ8FF63	AK	\$1,129,071	\$1,129,071
AUBURN UNIVERSITY	DMQNDJDHTDG4	AL	4,091,508	4,091,508
UNIVERSITY OF ARKANSAS SYSTEM ARKANSAS AGRICULTURAL EXPERIMENT STATION	WJNTJ7LBL823	AR	3,478,688	3,478,688
AMERICAN SAMOA COMMUNITY COLLEGE	N1Z3DCLYKZR9	AS	1,927,080	963,540
UNIVERSITY OF ARIZONA	FYVHW7FANDT3	ΑZ	1,596,424	1,596,424
REGENTS OF THE UNIVERSITY OF CALIFORNIA, THE DIVISION OF AGRICULTURE AND NATURAL RESOURCES	DJA9TB2ULCT1	CA	4,943,372	4,943,372
COLORADO STATE UNIVERSITY AGRICULTURAL EXPERIMENT STATION	TA9JJL4AN666	CO	2,258,686	2,258,686
CONNECTICUT AGRICULTURAL EXPERIMENT STATION, THE	N48NWM27W7R3	СТ	832,850	832,850
UNIVERSITY OF CONNECTICUT OFFICE SPONSORED PROGRAMS	WNTPS995QBM7	CT	832,850	832,850
UNIVERSITY OF THE DISTRICT OF COLUMBIA U D C	VG8UFZCKCH79	DC	800,637	400,319
UNIVERSITY OF DELAWARE	T72NHKM259N3	DE	1,193,260	1,193,260
UNIVERSITY OF FLORIDA DIVISION OF SPONSORED RESEARCH	NNFQH1JAPEP3	FL	3,180,672	3,180,672
UNIVERSITY OF GEORGIA SPONSORED PROJECTS ADMINISTRATION	Q2LKTLYJM4P8	GA	4,654,041	4,654,041
UNIVERSITY OF GUAM	YL62T9FVJXG3	GU	1,967,117	983,559
UNIVERSITY OF HAWAII SYSTEMS COLLEGE OF TROPICAL AGRICULTURE & HUMAN RESOURCES	GJJ9S9R7NJU7	HI	1,178,038	1,178,038
IOWA STATE UNIVERSITY OF SCIENCE AND TECHNOLOGY IOWA STATE UNIVERSITY  PEGENTS OF THE UNIVERSITY OF	DQDBM7FGJPC5	IA	5,685,390	5,685,390
REGENTS OF THE UNIVERSITY OF IDAHO UNIVERSITY OF IDAHO	QWYKRJH5NNJ3	ID	2,038,144	2,038,144
UNIVERSITY OF ILLINOIS BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS, THE	Y8CWNJRCNN91	IL	5,713,803	5,713,803
PURDUE UNIVERSITY	YRXVL4JYCEF5	IN	5,514,218	5,514,218
KANSAS STATE UNIVERSITY PRE- AWARDS SERVICES	CFMMM5JM7HJ9	KS	3,487,598	3,487,598

Recipient Name	UEI Number	State	Allocation	Required Match
UNIVERSITY OF KENTUCKY	QUKNKLZ4N889	KY	\$5,443,779	\$5,443,779
LOUISIANA STATE UNIVERSITY LSU AGRICULTURAL CENTER	UF3LV6W2W6K9	LA	3,127,340	3,127,340
UNIVERSITY OF MASSACHUSETTS OFFICE OF GRANT & CONTRACT ADMINISTRATION	VGJHK59NMPK9	MA	1,944,492	1,944,492
UNIVERSITY OF MARYLAND COLLEGE OF AGRICULTURE AND NATURAL RESOURCES	G2XMPKNUYNG4	MD	2,337,809	2,337,809
UNIVERSITY OF MAINE SYSTEM RESEARCH & SPONSORED PROGRAM	PB3AJE5ZEJ59	ME	1,800,590	1,800,590
MICHIGAN STATE UNIVERSITY	R28EKN92ZTZ9	MI	5,537,284	5,537,284
REGENTS OF THE UNIVERSITY OF MINNESOTA OFFICE SPONSORED PROJECTS ADMINISTRATION  UNIVERSITY OF MISSOURI SYSTEM CURATORS OF THE UNIVERSITY OF	KABJZBBJ4B54	MN	5,403,465	5,403,465
MISSOURI	SZPJL5ZRCLF4	MO	5,392,358	5,392,358
NORTHERN MARIANAS COLLEGE	D9H8LRADZSL3	MP	1,919,018	959,509
MISSISSIPPI STATE UNIVERSITY  MONTANA STATE UNIVERSITY, INC  MONT ST UNIV/GRANTS & CONTRACT	NTXJM52SHKS7  EJ3UF7TK8RT5	MS MT	3,945,806 1,977,839	3,945,806 1,977,839
NORTH CAROLINA STATE UNIVERSITY	U3NVH931QJJ3	NC	6,680,707	6,680,707
NORTH DAKOTA STATE UNIVERSITY	EZ4WPGRE1RD5	ND	2,270,944	2,270,944
BOARD OF REGENTS OF THE UNIVERSITYOF NEBRASKA UNIVERSITY OF NEBRASKA	HTQ6K6NJFHA6	NE	3,212,730	3,212,730
UNIVERSITY SYSTEM OF NEW HAMPSHIRE AGRICULTURE EXPERIMENT STATION	DLYQNLYNUM11	NH	1,449,571	1,449,571
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY	N1SLEML7PBF9	NJ	1,944,512	1,944,512
NEW MEXICO STATE UNIVERSITY OFFICE OF SPONSORED PROGRAMS	J3M5GZAT8N85	NM	1,623,703	1,623,703
NEVADA SYSTEM OF HIGHER EDUCATION NEVADA AGRICULTURAL EXPERIMENT STATION	ZBSLB5G5DST4	NV	1,124,064	1,124,064
CORNELL UNIVERSITY OFFICE OF SPONSORED PROGRAMS-GENEVA	G56PUALJ3KT5	NY	511,639	511,639
CORNELL UNIVERSITY OFFICE OF SPONSORED PROGRAMS-ITHACA	G56PUALJ3KT5	NY	4,604,754	4,604,754
OHIO STATE UNIVERSITY, THE OHIO AGRICULTURE RESEARCH & DEVELOPMENT CENTER	MCVMCJ21DRU4	ОН	6,681,343	6,681,343
OKLAHOMA STATE UNIVERSITY	NNYDFK5FTSX9	OK	3,492,796	3,492,796
OREGON STATE UNIVERSITY	MZ4DYXE1SL98	OR	2,672,524	2,672,524

Recipient Name	UEI Number	State	Allocation	Required Match
PENNSYLVANIA STATE UNIVERSITY, THE PENN STATE	NPM2J7MSCF61	PA	\$6,291,962	\$6,291,962
COLLEGE OF MICRONESIA LAND GRANT PROGRAM	R1CJJUMM9WP3	PNI	2,012,437	1,006,219
UNIVERSITY OF PUERTO RICO ESTACION EXPERIMENTAL AGRICOLA	DHVQFXGFBLE9	PR	4,466,674	2,233,337
UNIVERSITY OF RHODE ISLAND CONTROLLERS' OFFICE	CJDNG9D14MW7	RI	1,079,298	1,079,298
CLEMSON UNIVERSITY	H2BMNX7DSKU8	SC	3,484,844	3,484,844
SOUTH DAKOTA STATE UNIVERSITY	DNZNC466DGR7	SD	2,449,229	2,449,229
UNIVERSITY OF TENNESSEE AGRICULTURE EXPERIEN	LXG4F9K8YZK5	TN	5,179,723	5,179,723
TEXAS A&M AGRILIFE RESEARCH	KU3DCFJJTVN3	TX	7,538,974	7,538,974
UTAH STATE UNIVERSITY USU	SPE2YDWHDYU4	UT	1,415,564	1,415,564
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSITY	QDE5UHE5XD16	VA	4,399,858	4,399,858
UNIVERSITY OF THE VIRGIN ISLANDS	JTFKX11JLHS8	VI	1,941,349	970,675
UNIVERSITY OF VERMONT & STATE AGRICULTURAL COLLEGE UNIVERSITY OF VERMONT	Z94KLERAG5V9	VT	1,500,468	1,500,468
WASHINGTON STATE UNIVERSITY WSU EXTENSION AND CAHNRS	MRKLS79DJBD9	WA	2,904,505	2,904,505
UNIVERSITY OF WISCONSIN SYSTEM RESEARCH AND SPONSORED PROGRAMS	LCLSJAGTNZQ7	WI	5,479,982	5,479,982
WEST VIRGINIA UNIVERSITY	MNL4D3VQUWA5	WV	2,690,166	2,690,166
UNIVERSITY OF WYOMING SPONSORED PROGRAMS	FDR5YF2K32X5	WY	1,363,034	1,363,034
Total Payment to State			\$185,800,581	\$178,283,427
Federal Administration			5,862,936	
Small-Business Set-Aside			4,948,967	
Total Appropriation			\$196,612,484	