Expanded Food and Nutrition Education Program

FY 2013 Formula Grant Opportunity (FGO) – Final

APPLICATION DEADLINE: June 7, 2013

U.S. Department of Agriculture
National Institute of Food and Agriculture
NATIONAL INSTITUTE OF FOOD AND AGRICULTURE;
U.S. DEPARTMENT OF AGRICULTURE

EXPANDED FOOD AND NUTRITION EDUCATION PROGRAM

FINAL ANNOUNCEMENT

CATALOG OF FEDERAL DOMESTIC ASSISTANCE: This program is listed in the Catalog of Federal Domestic Assistance under 10.500.

DATES: Applications must be received by close of business (COB) (5:00 p.m. Eastern Time) on June 7, 2013.

EXECUTIVE SUMMARY: The National Institute of Food and Agriculture (NIFA) requests applications for the FY 2013 formula grants authorized under section 3(d) of the Smith-Lever Act for the Expanded Food and Nutrition Education Program (EFNEP). Grants under this program are to assist all States, U.S. Territories and the District of Columbia in carrying out a program of extension activities designed to employ and train professional and paraprofessional aides to engage in direct nutrition education of low-income families and in other appropriate nutrition education programs. The amount available for this program in FY 2013 is $62,375,108 and is subject to change upon final Appropriation.

This notice identifies the objectives for EFNEP projects, the eligibility criteria for projects and applicants, and the application forms and associated instructions needed to apply for a FY 2013 EFNEP formula grant allocation. NIFA additionally requests stakeholder input from any interested party for use in the development of the next Formula Grant Opportunity (FGO) for this program. Please send questions or comments to Formula Grants Branch, Office of Grants and Financial Management, NIFA/USDA, Stop 2271, 1400 Independence Avenue, SW, Washington, DC 20250-2271; fax: (202) 401-1804; or e-mail: formulagrantquestions@nifa.usda.gov.
What’s New for FY 2013?

- In July 2012, the System for Award Management (SAM), a new system that combines eight federal procurement systems, including the Central Contractor Registry (CCR), and the Catalog of Federal Domestic Assistance (CFDA) into one new system, was implemented. Therefore, the CCR activities are now being conducted through SAM. See Part VI B. for additional information.

- New Application Attachment Filename Requirement. Attachment Filename Characters are now validated and enforced. Beginning August 15, 2012, applicants are now limited to using the following characters in all attachment file names. Valid file names may only include the following **UTF-8 characters:**
  A-Z, a-z, 0-9, underscore (_), hyphen (-), space, period.

  **Note:** If applicants use any other characters when naming their attachment files their applications will be rejected.

- The FY 2013 formula grant awards will be disbursed through the Automated Standard Application for Payment System (ASAP) operated by the Department of Treasury’s Financial Management Service. Applicants must ensure ASAP recipient ID information provided on the SF 424 R&R (Research and Related) is correct as NIFA will use this information to certify funds in ASAP. NIFA will no longer move ASAP funds between institutional accounts. Applicants can receive instructions on how to access ASAP and how to inform NIFA, Financial Operations Division (FOD) of their account number to use to make disbursements, by emailing the ASAP Customer Service email box at ASAPCustomerService@nifa.usda.gov.

- There will be a single (final) Grants.gov submission in FY 2013. The funding amount provided in this FGO is an amount determined by NIFA and is subject to change upon final Appropriation.

- The Application Package for this submission will include:
  - Application for Federal Assistance (Standard Form (SF) 424 (Research and Related (R&R))) (Required)
  - NIFA Supplemental Information Form (Required)
    NIFA will use the Supplemental Information Form to capture all necessary attachments for this submission of the SF 424 R&R application (via pdf attachment).
  - NIFA will be capturing the information for three key contacts, and each organization will determine who those individuals are.
    - Block 5 should reflect the person responsible for fiscal/financial issues.
- The Authorized Representative (AR)\(^1\) must have the authority to represent and bind the organization for any agreement.
- The name of the Project Director will be reflected on the NIFA-2009, “Award Face Sheet”, and NIFA suggests that the State Extension Director or 1890 Extension Director would be an appropriate person.

- The application package will no longer require the EFNEP Annual Update, the EFNEP Budget Sheet and the EFNEP Budget Justification as a PDF attachment. These will be submitted through the Web-Based Nutrition Education Evaluation and Reporting System (WebNEERS).

- NIFA plans to issue all FY-2013 Formula Grants on the NIFA-2009 form. This form is also used to issue non-formula grants.

- For formula grants issued in FY 2013, the grantee is required to submit an annual SF-425, Federal Financial Report (this form consolidates and replaces four financial reporting forms, the SF-269, SF-269A, SF-272, and SF-272A). The grantee is NOT required to submit a quarterly SF-425 (the quarterly submission requirement, however, exists for previously issued grants with DHHS as the designated payment system). When completing the SF-425, the Project/Grant Period in Block 8 must reflect the duration of the award (i.e., 5 years).

\(^1\) Authorized Representative (AR) – the individual who is authorized to commit the awardee’s time and other resources to the project, to commit the awardee to comply with the terms and conditions of the award instrument including those set out herein, and to otherwise act for or on behalf of the awardee institution or organization.
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PART I—FUNDING OPPORTUNITY DESCRIPTION

A. Legislative Authority and Background

Section 3(d) of the Smith-Lever Act provides that The Secretary of Agriculture may fund extension work in the several States, Territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) is also known as the Expanded Food and Nutrition Education Program (EFNEP). This law provides the basis for Federal funding for extension activities associated with disseminating the results of food and nutrition research performed or funded by the U.S. Department of Agriculture to enable low-income individuals and families to engage in nutritionally sound food purchase and preparation practices. Funding extends to EFNEP at State land-grant colleges established under the Morrill Act of July 2, 1862, as amended, and the Morrill Act of August 30, 1890, as amended, including Tuskegee University and West Virginia State University.

Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish the following:

Notwithstanding section 3(d) of the Smith-Lever Act of May 8, 1914 (7 U.S.C. 343(d)) for administration, technical, and other services for coordinating the extension work of the Department and the several States, Territories, and possessions the remainder shall be allocated among the States as follows:

1. 1862 Institutions shall receive a base in an amount equaling their FY 1981 allocation.

2. Four percent (4%) shall be available for administrative expenses.

3. $100,000 will be distributed to each 1862 and 1890 Land-Grant Institution including the University of the District of Columbia.

4. For fiscal year (FY) 2013 – 14 percent of funds appropriated for EFNEP in excess of funds appropriated in FY 2007 will be allocated to the 1890 Institutions in an amount that bearing the same ratio to the population living at or below 125 percent of the poverty level (as prescribed by the Office of Management and Budget and as adjusted pursuant to section 673(2) of the Community Services Block Grant Act (42 U.S.C.9902(2))) in the State where the 1890 Institution is located; bears to the total population living at or below 125 percent of the poverty level in all States where 1890 Institutions are located; as determined by the most recent decennial census at the time when the appropriated amount first exceeds levels appropriated for EFNEP in FY 2007. This FY 2013 rate of 14 percent is to increase by 1 percentage point annually until FY 2014 – maxing out at 15 percent and remaining at that level for every year thereafter.

5. The remainder will be allocated to each State in an amount that bears the same ratio to the total amount allocated as the population living at or below 125 percent of the poverty level in the State; bears to the total population living at or below 125 percent of poverty
level in all States; as determined by the most recent decennial census at the time at which each such additional amount is first appropriated.

Under the Federal Funding Accountability and Transparency Act (FFATA), the U.S. Office of Management and Budget (OMB) was required to develop and maintain a public searchable data base for all Federal financial assistance awards, and Federal agencies are required to report specific data elements which will be collected via these applications (e.g., Dun and Bradstreet data Universal Numbering (D-U-N-S®) numbers, legal names of institutions, legal addresses, congressional districts, etc.). OMB makes this information available at http://www.usaspending.gov.

Please note that the submission of this application package does not change the following:
- Delegated authorities of the State Extension Director or 1890 Extension Administrator;
- How funds are managed at your institution; and
- Indirect costs and tuition remission are unallowable costs.

Guidelines for the administration of this program are published as Chapter III, Financial Operations, of the Administrative Handbook for Cooperative Extension Work, which may be accessed at http://www.nifa.usda.gov/business/awards/formula/manuals/coopextension.pdf Please note that any instructions in this FGO supersede policies and procedures identified in this manual. In 2013, NIFA will be revising this manual and will promulgate administrative provisions for this program through a formal rulemaking process which includes a public comment period.

The USDA NIFA home page for EFNEP is: http://nifa.usda.gov/efnep.

B. Purpose and Priorities

The purpose of this funding is to increase the impact of nutrition education disseminated to low-income families and youth in the 50 states, the U.S. territories, and the District of Columbia.
PART II—AWARD INFORMATION

A. Available Funding

The Consolidated and Further Continuing Appropriations Act, 2013 (Public Law 113-6) provides $62,375,108 for this program and is subject to change upon final Appropriation.

Funds will be distributed to the institutions on a semi-annual basis in FY 2013. See Appendix A for the specific allocations. Applicants should request funding in the amounts listed in the Appendix A to this announcement. As stated above, the FY 2013 allocation is subject to change upon final Appropriation and if it does applicants will not need to submit another FGO but they will need to submit a final budget and a final budget justification through EFNEP’s Web-based Nutrition Education Evaluation and Reporting System (WebNEERS). After this and any other reporting requirements are complete, any additional funds will be released.

EFNEP funds are expected to be fully expended in the fiscal year of appropriation; however funds may be carried over for up to four (4) years after the end of the year for which they were appropriated. However, no additional carry-over requests may be considered or approved as no legislative authority to do so is provided.

B. Types of Submission

Final Submission:
In FY 2013 application for awards must be submitted to EFNEP as a “new” application on the www.grants.gov website, based on the amounts indicated in the Appendix A. The SF-424 (R&R) Application Package should include:
- Application for Federal Assistance (Standard Form (SF) 424 (R&R))
- NIFA Supplemental Information Form

C. Project Types

Applicants should request funding equal to the amounts in the Appendix A. NIFA will fund EFNEP in FY 2013 for approved EFNEP projects.

Projects should consist of employing and training professional and paraprofessional (peer educator) staff to engage in direct nutrition education and other appropriate nutrition education efforts with low-income families and youth. To the maximum extent practicable, peer educators shall be hired from the “indigenous target population.”

EFNEP serves two primary low-income audiences: 1) families with young children (parents and other caregivers with primary responsibility for feeding young children); and 2) youth/children.

The objectives of EFNEP are to assist low-income families and youth in acquiring knowledge, skills, attitudes, and changed behaviors necessary for nutritionally sound diets and to contribute
to their personal development and improvement of the total family diet and nutritional well-being.

Participation in EFNEP should result in:
• Improved diets and nutritional well-being of the total family;
• Increased knowledge of the essentials of human nutrition – particularly as reflected in the Federal Food Guidance System;
• Increased ability to select and buy food that meets nutritional needs;
• Improved practices in food production, preparation storage, safety, and sanitation;
• Increased ability to manage food budgets and related resources such as electronic benefit transfer cards from the Supplemental Nutrition Assistance Program (formerly the Food Stamp Program).
PART III—ELIGIBILITY INFORMATION

A. Eligible Applicants

Applications may be submitted by State colleges and universities in accordance with Section 3(d) of the Smith-Lever Act: Auburn University; Alabama A & M University; Tuskegee University; University of Alaska; American Samoa Community College; University of Arizona; University of Arkansas; University of Arkansas – Pine Bluff; University of California; Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A & M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; College of Micronesia; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A & T University; North Dakota State University; Northern Marianas College; Ohio State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A & M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University; Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project and that they are in accordance with other program and fiscal requirements specific to EFNEP.

B. Cost Sharing or Matching

There is no cost-sharing or matching requirement for these funds.
PART IV—SUBMISSION INFORMATION

A. Electronic Formula Grants Opportunity Package

Only electronic applications may be submitted via Grants.gov to NIFA in response to this FGO.

Prior to preparing an application, NIFA suggests that the State Extension Director or 1890 Extension Administrator, or his or her designee, first contact an AR to determine if the organization is prepared to submit electronic applications through Grants.gov. If the organization is not prepared, the AR should see http://grants.gov/applicants/get_registered.jsp for steps for preparing to submit applications through Grants.gov.

The State Extension Director or 1890 Extension Administrator, or his or her designee, also must contact the institution’s AR in order to be granted permission for the submission of applications through Grants.gov. Once that permission is granted in the Grants.gov system, the State Extension Director or 1890 Extension Administrator, or his or her designee, can obtain a login and password necessary for submission of applications.

The steps to access application materials are as follows:

1. In order to access, complete and submit applications, applicants must download and install a version of Adobe Reader compatible with Grants.gov. This software is essential to apply for NIFA Federal assistance awards. For basic system requirements and download instructions, please see http://grants.gov/help/download_software.jsp. To verify that you have a compatible version of Adobe Reader, Grants.gov established a test package that will assist you in making that determination. Grants.gov Adobe Versioning Test Package: http://grants.gov/applicants/AdobeVersioningTestOnly.jsp.

2. The application package must be obtained via Grants.gov, go to http://grants.gov, click on “Apply for Grants” in the left-hand column, click on “Step 1: Download a Grant Application Package and Instructions,” enter the funding opportunity number USDA-NIFA-SLBCD-004258 in the appropriate box and click “Download Package.” From the search results, click “Download” to access the application package.

If assistance is needed to access the application page (e.g., downloading or navigating PDF forms, using Adobe with a Macintosh computer), refer to the resources available on the Grants.gov Web site first (http://grants.gov). Grants.gov assistance is also available as follows:

Grants.gov customer support
1-800-518-4726 Toll Free or 606-545-5035
Business Hours: 24 hours a day, 7 days a week. Closed on Federal Holidays.
Email: support@grants.gov

Grants.gov iPortal: Top 10 requested help topics (FAQs), Searchable knowledge base, self service ticketing and ticket status, and live web chat (available 7:00
Please have the following information available when contacting Grants.gov, to help expedite your inquiry:

- Funding Opportunity Number (FON)
- Name of Agency You Are Applying To
- Specific Area of Concern


**B. Content and Form of Formula Grants Opportunity Package Submission**

**General**

Each grant application must contain an “Application for Federal Assistance,” Form SF-424 (R&R) and Supplemental Information Form.

**Grant Application Package**

Verify that the information shown corresponds to the grant for which the applicant wishes to apply. Grants.gov will auto-populate the following information:

- Opportunity Title
- Offering Agency
- Catalog of Federal Domestic Assistance (CFDA) Number
- CFDA Description
- Opportunity Number
- Opportunity Open Date
- Opportunity Close Date
- Agency Contact

Enter a name for the application in the **Application Filing Name** field. This field is required. This name is for use solely by the applicant for you to track through the Grants.gov submission process. It is not used by the receiving agency.

Open and complete all of the documents listed in the “Mandatory Documents” box. Complete the SF-424 first. Data entered on the SF-424 will populate other mandatory and optional forms where possible.

To access a form or document, click the form/document name to select it, and then click the =&gt; button. This will move the form/document to the “Mandatory Documents for Submission” box. To open an item to complete it, simply click on it to select the item and then click on the “Open Form” button. To remove a form/document from the “Mandatory Documents for Submission”
box, click the form/document name to select it, and then click the <= button. This will return the form/document to the “Mandatory Documents” or “Optional Documents” box.

The following are the government-wide instructions followed by agency specific guidelines (in italics), if any, for each data element for each form.

1. SF 424 (R&R) - Cover Page
   o Open and complete document SF-424 (R&R) first as this page will pre-populate information on pages where the same information is requested:
     ▪ Box 1 – Type of Submission:
       • Pre-application – unless specified in a program announcement this field is NOT used by NIFA.
       • Application – check application (all applications are new; the type of application – New, Resubmission, Renewal or Revision is collected and identified in box 8).
       • Changed/Corrected Application – N/A.
     ▪ Box 2 – Date Submitted and Applicant Identifier
       • Leave the “Date Submitted” box blank.
       • Applicant Identifier – If you wish to use a unique identification number for your own purpose, enter it here. Applicant use only. Use or leave blank.
     ▪ Box 3 – Date Received by State and State Application Identifier
       • Enter the date received by the State, if applicable. Enter in format mm/dd/yyyy. State use only. Use or leave blank. Enter the identifier assigned by the State, if applicable. State use only. Use or leave blank.
     ▪ Box 4a - Federal Identifier
       • Enter the number assigned to your organization by the Federal agency. This field is for use when an institution has its own system for tracking application. This field allows the applicant to enter its application identifier on the application. Leave blank.
     ▪ Box 4b - Agency Routing Number
       • To be entered only if agency specific instructions indicate. Leave blank.
     ▪ Box 5 – Applicant Information
       • Organizational DUNS Number: Enter the Organization’s D-U-N-S number (received from D&B) or the D-U-N-S +4 number (if available).
       • Legal Name: Enter the name of the institution.
       • Address: Enter complete address of applicant. Enter the institution’s mailing address.
       • Person to be contacted on matters involving this application – For the person to contact on matters related to this submission: name, organizational affiliation (if affiliated with an organization other than the applicant organization, e-mail address, phone number, and
fax number. Enter the contact information for the person responsible for fiscal/financial issues.

- **Box 6** – Employer Identification: Enter either the Employer Identification Number (EIN) or Taxpayer Identification Number (TIN) as assigned by the Internal Revenue Service.

- **Box 7** – Type of Applicant. From the drop down menu select the appropriate code letter and enter in the space provided. Code letters O, P, Q, R, S, T, U, V, and W are letters that have not been included as part of the drop down menu.
  A. State Government
  B. County Government
  C. City or Township Government
  D. Special District Government
  E. Regional Organization
  F. U.S. Territory
  G. Independent School District
  H. Public/State Controlled Institution of Higher Education
  I. Indian/Native American Tribal Government (Federally Recognized)
  J. Indian/Native American Tribal Government (Other than Federally Recognized)
  K. Indian/Native American Tribally Designated Organization
  L. Public/Indian Housing Authority
  M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)
  N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)
  X. Other (specify in accordance with agency instructions)

- **Box 8** – Type of Application
  - New - application being submitted for the first time or is considered an “initial” application. Check “new” for both the initial and final FGO submissions.
  - Resubmission – Select “Resubmission” when your institution has filed an accepted “New/Initial” or “Update” package and now desires to revise any of the information on those applications, such as its matching funds available. Any matching waiver requests would be attached to this package, as well.
  - Renewal - application requesting continued funding (previously known as competing continuation) N/A Leave Blank.
  - Continuation - non-competing application (previously known as Progress Report) - N/A Leave Blank.
  - Revision – Select “Revision” if your institution discovers an error or omission in the “New/Initial”, or “Resubmission” application, or if you are requested to do so by NIFA as the result of its review of the application. Leave Blank.
- **Box 8** - A-E: N/A
  
  A. Increase Award  
  B. Decrease Award  
  C. Increase Duration  
  D. Decrease Duration  
  E. Other - provide written explanation, i.e., any other change in the terms and conditions of the existing award

- **Box 9** - Name of Federal Agency - will be pre-filled

- **Box 10** - Do not complete Catalog of Federal Domestic Assistance. Number (CFDA) - will be pre-filled or left blank.

- **Box 11** - Descriptive Title of Applicant’s Project - fill in with the Project Title

- **Box 12** - Enter proposed start and end dates – use format: MM/DD/YYYY. Enter 10/01/2012- 09/30/2013.

- **Box 13** - Congressional district of the Applicant Organization - Enter the applicant’s congressional district. Enter the Congressional district where the main campus is located.

- **Box 14** - Project Director/Principal Investigator – complete all boxes in this section. Note that the nine digit zip code is required. NIFA suggests that the name and information of the State Extension Director or 1890 Extension Administrator be included in this box. This name will appear on the official award document for FY 2013.

- **Box 15** - Estimated Project Funding –
  
  - **15.a.** Enter the amount requested from the Federal agency. If the agency has specified an amount, enter that amount. Enter your institution’s actual FY 2013 allocation as listed in Appendix A to this announcement.
  
  - **15.b.** Total Non-Federal Funds Requested: Enter the amount of funds from all other sources (i.e., match). Institutions are not required to match; enter “0”.
  
  - **15.c.** Total Federal & Non-Federal Funds: Enter total amount of Federal funds requested plus the non-federal (i.e. match) amounts. However, institutions are not required to match.
  
  - **15.d.** Estimated Program Income: Enter amount of estimated program income to be generated from this project. Enter “0”.

- **Box 16** - Select “Program is not covered by E.O. 12372”.

- **Box 17** - By signing this application, I certify (1) to the statements contained in this list of certifications* (as identified under Part IV, C), and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances* and agree to comply with any Administrative and National Policy

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* The applicable certifications and assurances can be located on the NIFA website. See [http://www.nifa.usda.gov/funding/all_forms.html](http://www.nifa.usda.gov/funding/all_forms.html) under the “Other Forms” heading.
Requirements, (as identified under Part VI, B) if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001). Application is to be signed by the State Extension Director or 1890 Extension Administrator, or his or her designee, of the applicant organization. The required assurances designated here include the institution’s compliance with all Federal laws and regulations associated with receipt of Federal Domestic Assistance for this program, including the matching certification and certification regarding lobbying activities. By clicking the submit button of the application, the State Extension Director or 1890 Extension Administrator, or his or her designee, certifies to the above.

- Be sure to click on the “I agree” button. Although this box is for the AR to certify, if this box is not checked, an error message will result.
- Box 18 - If applicable, attach the SFLLL (Standard Form LLL, Disclosure of Lobbying Activities).
- Box 19 - Authorized Representative (AR) - Also known as Signing Official. To be signed by the State Extension Director or 1890 Extension Administrator, or his or her designee, of the applicant organization. Enter the name, title, phone number, e-mail address, and fax number of the State Extension Director or 1890 Extension Administrator, or his or her designee.
- Box 20 - Pre-Applications - Unless specifically noted in a program announcement, do not use pre-applications - leave blank.

2. NIFA Supplemental Information Form (Required)

1. **Funding Opportunity Name:** No entry required. This is pre-populated from the opportunity package.
**Funding Opportunity Number:** No entry required. This is pre-populated from the opportunity package.

2. **Program Code Name:** Enter the name of the program to which you are applying exactly as instructed in the full announcement. This field is required. Enter “Expanded Food and Nutrition Education Formula Program”.
**Program Code:** Enter the program code to which you are applying exactly as instructed in the full announcement. This code is used to route proposals within the agency. Enter “EFNEP”.

3. **Type of Applicant:** No entry required. This will be pre-populated from your entry on the application cover sheet

4. **Additional Applicant Types:** Select one of the following options if it is applicable to the legal applicant of this application. Select the appropriate institution type from the drop down menu in this block.
5. **Supplemental Applicant Types:** Please check all that apply.

6. **HHS Account Information:** This information will no longer be utilized as payments will be made via the Automated Standard Application System (ASAP) operated by the Department of Treasury’s Financial Management Service. Provide your institutions ASAP recipient ID number.

7. **Key Words:** Enter the most relevant key words which describe the proposed project. This field is required. Enter “Not applicable”.

8. **Conflict of Interest List:** Do not attach a conflict of interest list.

**Saving the Application**

The application package can only be saved from the Grant Application Package screen. It is recommended that after the applicant completes each form/document that the applicant click on the “Close Form” button to close the form and then save the application package by clicking on the “Save” button at the top of the Grant Application Package Screen. It is important to note that the application package will be saved to the last active directory on the applicant’s computer. Therefore, the applicant should save the application package to their hard drive where it can be easily accessed. The applicant may save their application package at any time and should save it with the same file name each time. An error message will occur each time that the applicant saves the form before completing all of the mandatory fields on the mandatory forms or the optional forms are moved to the Optional Completed Documents for Submission column on the application package. The applicant may ignore this warning. This warning will not appear when all of the mandatory fields have been completed on all of the forms/documents in the application package.

**Check Package for Errors**

To initiate the Grants.gov edit check process, simply click on the “Check Package for Errors” button at the top of the screen. This process will identify all mandatory fields that have not been completed by the applicant. These errors will be displayed one at a time.

No checks against NIFA business rules will take place at this time.

**Submitting the Application**

The AR must open the browser, open the application and then press the Submit button. To submit the application:

1. Close all open browser(s) and running applications.
2. Open the internet browser.
3. Navigate to the physical location where the application file is located. Select the application to open.
4. The internet browser will open the application. This may take a few moments. Please be patient.

5. Once the application is completely open, click the **Save and Submit** button. (The Save and Submit button will not become active until all mandatory documents have been properly completed and there are no errors in the application.) The AR (applicant) is required to save the application again at this point in order to begin the application submission process.

6. Click **Save and Submit**.

7. A dialog box will appear and the applicant will need to enter their Grants.gov username and password.

8. Lastly, click **Login**.

Only the AR has the authority and user rights to **Save and Submit** an application to Grants.gov. Additional submission tips may be found at the Grants.gov website. Grants.gov Submission Tips: [http://grants.gov/help/submit_application_faqs.jsp](http://grants.gov/help/submit_application_faqs.jsp)

### C. Certifications

In addition, by signing the application the applicant is providing the required assurances noted below:

Each entity that applies and does not have an exemption under Sec. 25.110 of 2 CFR will:

1. Be registered in the Central Contract Registry (CCR) prior to submitting an application or plan;
2. Maintain an active CCR registration with current information at all times during which it has an active Federal award or an application or plan under consideration by an agency; and
3. Provide its DUNS number in each application or plan it submits to the agency.

The Grants.gov validation process checks for an active CCR registration (applicants with expired CCR registrations will be rejected).

In July 2012, the System for Award Management (SAM), a new system that combines eight federal procurement systems, including the CCR, and the Catalog of Federal Domestic Assistance into one new system, was implemented. Therefore, the CCR activities are now being conducted through SAM. See Part VI B. for additional information.

Each entity that applies and does not have an exception under Sec. 170.110(b) of 2 CFR ensures that they have the necessary processes and systems in place to comply with the reporting requirements identified in Appendix A to Part 170 should they receive funding.

### D. Submission Dates and Times

The application package containing an “Application for Federal Assistance,” Form SF-424 (R&R) and NIFA Supplemental Information Form should be submitted online at the [www.grants.gov](http://www.grants.gov) website by COB (5:00 p.m. Eastern Time) on June 7, 2013.
The receipt of all applications will be acknowledged by e-mail. Therefore, applicants are strongly encouraged to provide accurate e-mail addresses via the www.grants.gov website.

If an applicant has not received an acknowledgment within 30 days of the submission, the applicant must contact the Agency contact (see Part VII) immediately and ask for the application number assigned to the application. Failure to do so may result in the application not being processed and funding being held. Once the application has been assigned an application number, this number should be cited on all future correspondence.

E. Funding Restrictions

(1) Approved NIFA EFNEP Projects

EFNEP Federal funding must be used on NIFA approved EFNEP projects.

(2) Matching

There is no cost-sharing or matching requirement for this program.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as EFNEP formula grant expenditures.
PART V—SUBMISSION REVIEW REQUIREMENTS

The National Program Leader, Program Specialist and the OGFM Formula Grants Branch will review submitted documents to determine if all program, financial, and administrative requirements have been met and are current.
PART VI—AWARD ADMINISTRATION

A. General

The National Program Leader or Program Specialist will notify the OGFM Formula Grants Branch regarding institutions’ compliance with reporting requirements. If all program and administrative requirements have been met by the eligible institution, funding will be released on a semi-annual basis in FY 2013. The OGFM Formula Grants Branch will notify the institutions when all requirements have been met and are current.

B. Administrative and National Policy Requirements

Several Federal statutes, regulations, and terms and conditions apply to grant applications considered for review and to project grants awarded under this program. These include, but are not limited to:


7 CFR Part 15, subpart A — USDA implementation of Title VI of the Civil Rights Act of 1964, as amended.


7 CFR Part 3017 — USDA implementation of Governmentwide Debarment and Suspension (Nonprocurement).

7 CFR Part 3018 — USDA implementation of Restrictions on Lobbying.

7 CFR Part 3021—USDA Implementation of Governmentwide Requirements for Drug-Free Workplace (Grants).


7 CFR Part 3407 — USDA procedures to implement the National Environmental Policy Act of 1969, as amended.

29 U.S.C. 794 (section 504, Rehabilitation Act of 1973) and 7 CFR Part 15b (USDA implementation of statute) —prohibiting discrimination based upon physical or mental handicap in Federally assisted programs.

35 U.S.C. 200 et seq. —Bayh Dole Act, controlling allocation of rights to inventions made by employees of small business firms and domestic nonprofit organizations, including universities, in Federally assisted programs (implementing regulations are contained in 37 CFR Part 401).

REPORTING SUBAWARDS AND EXECUTIVE COMPENSATION

In accordance with the Office of Management and Budget guidance published in the Federal Register (FR), 75 FR 55663, on September 14, 2010, “Requirements for Federal Funding Accountability and Transparency Act Implementation,” awardees must comply with the requirements of this award term.

a. Reporting of first-tier subawards

1. Applicability. Unless you are exempt as provided in paragraph d. of this award term, you must report each action that obligates $25,000 or more in Federal funds that does not include Recovery funds (as defined in section 1512(a)(2) of the American Recovery and Reinvestment Act of 2009, Pub. L. 111-5) for a subaward to an entity (see definitions in paragraph e. of this award term).

2. Where and when to report.
   i. You must report each obligating action described in paragraph a.1. of this award term to http://www.fsrs.gov.

   ii. For subaward information, report no later than the end of the month following the month in which the obligation was made. (For example, if the obligation was made on November 7, 2010, the obligation must be reported by no later than December 31, 2010.)
3. **What to report.** You must report the information about each obligating action that the submission instructions posted at [http://www.fsrs.gov](http://www.fsrs.gov) specify.

b. **Reporting Total Compensation of Recipient Executives**

1. **Applicability and what to report.** You must report total compensation for each of your five most highly compensated executives for the preceding completed fiscal year, if—
   i. the total Federal funding authorized to date under this award is $25,000 or more;
   
   ii. in the preceding fiscal year, you received--
      (A) 80 percent or more of your annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the transparency Act, as defined at 2 CFR 170.320 (and subawards); and
      
      (B) $25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and
   
   iii. The public does not have access to information about the compensation of the Executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at [http://www.sec.gov/answers/execomp.htm](http://www.sec.gov/answers/execomp.htm)).

2. **Where and when to report.** You must report executive total compensation described in paragraph b.1. of this award term:
   
   i. As part of your registration profile at [https://www.sam.gov/sam/](https://www.sam.gov/sam/).
   
   ii. By the end of the month following the month in which this award is made, and annually thereafter.

c. **Reporting of Total Compensation of Subrecipient Executives**

1. **Applicability and what to report.** Unless you are exempt as provided in paragraph d. of this award term, for each first-tier subrecipient under this award, you shall report the names and total compensation of each of the subrecipient's five most highly compensated executives for the subrecipient's preceding completed fiscal year, if--
   
   i. In the subrecipient's preceding fiscal year, the subrecipient received--
      (A) 80 percent or more of its annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards); and
(B) $25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts), and Federal financial assistance subject to the Transparency Act (and subawards); and

ii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at [http://www.sec.gov/answers/execomp.htm](http://www.sec.gov/answers/execomp.htm).)

2. Where and when to report. You must report subrecipient executive total compensation described in paragraph c.1. of this award term:
   i. To the recipient.
   
   ii. By the end of the month following the month during which you make the subaward. For example, if a subaward is obligated on any date during the month of October of a given year (i.e., between October 1 and 31), you must report any required compensation information of the subrecipient by November 30 of that year.

d. Exemptions
   If, in the previous tax year, you had gross income, from all sources, under $300,000, you are exempt from the requirements to report:
   i. Subawards, and
   
   ii. The total compensation of the five most highly compensated executives of any subrecipient.

e. Definitions

For purposes of this award term:
1. Entity means all of the following, as defined in 2 CFR Part 25:
   i. A Governmental organization, which is a State, local government, or Indian tribe;
   
   ii. A foreign public entity;
   
   iii. A domestic or foreign nonprofit organization;
   
   iv. A domestic or foreign for-profit organization;
   
   v. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.

2. Executive means officers, managing partners, or any other employees in management positions.
3. **Subaward**:  
   i. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.

   ii. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec. ---- .210 of the attachment to OMB Circular A-133, “Audits of States, Local Governments, and Non-Profit Organizations”).

   iii. A subaward may be provided through any legal agreement, including an agreement that you or a subrecipient considers a contract.

4. **Subrecipient** means an entity that:  
   i. Receives a subaward from you (the recipient) under this award; and

   ii. Is accountable to you for the use of the Federal funds provided by the subaward.

5. **Total compensation** means the cash and noncash dollar value earned by the executive during the recipient's or subrecipient's preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):
   i. Salary and bonus.

   ii. Awards of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.

   iii. Earnings for services under non-equity incentive plans. This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.

   iv. Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.

   v. Above-market earnings on deferred compensation which is not tax-qualified.

   vi. Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds $10,000.
CENTRAL CONTRACTOR REGISTRATION AND UNIVERSAL IDENTIFIER REQUIREMENTS

In accordance with the Office of Management and Budget guidance published in the Federal Register (FR), 75 FR 55671, on September 14, 2010, “Financial Assistance Use of Universal Identifier and Central Contractor Registration,” awardees must comply with the requirements of this award term.

Requirement for Central Contractor Registration (CCR)

Unless you are exempted from this requirement under 2 CFR 25.110, you as the recipient must maintain the currency of your information in the CCR until you submit the final financial report required under this award or receive the final payment, whichever is later. This requires that you review and update the information at least annually after the initial registration, and more frequently if required by changes in your information or another award term.

In July 2012, the System for Award Management (SAM), a new system that combines eight federal procurement systems, including the Central Contractor Registry (CCR), and the Catalog of Federal Domestic Assistance (CFDA) into one new system, was implemented. The CCR activities are now being conducted through SAM (the CCR website will redirect users to SAM). Those organizations that need to register are to now visit https://www.sam.gov/sam/. Those organizations that already have a CCR registration are to visit the SAM website and should note the following:

• You’ll create a new SAM User ID and Password.
• Your CCR permissions will be verified via your email account.
• Your CCR registration information (Entity Information) will be waiting for you in SAM.

Requirement for Data Universal Numbering System (DUNS) Numbers

If you are authorized to make subawards under this award, you:

1. Must notify potential subrecipients that no entity (see definition in paragraph C of this award term) may receive a subaward from you unless the entity has provided its DUNS number to you.
2. May not make a subaward to an entity unless the entity has provided its DUNS number to you.

Definitions

For purposes of this award term:

1. Central Contractor Registration (CCR) means the Federal repository into which an entity must provide information required for the conduct of a business as a recipient. The CCR activities are now being conducted through SAM (see above).

2. Data Universal Numbering System (DUNS) number means the nine-digit number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify
business entities. A DUNS number may be obtained from D&B by telephone (currently 866-705-5711) or the Internet (currently at http://fedgov.dnb.com/webform).

3. **Entity**, as it is used in this award term, means all of the following, as defined at 2 CFR part 25, subpart C:
   a. A Governmental organization, which is a State, local government, or Indian Tribe;
   b. A foreign public entity;
   c. A domestic or foreign nonprofit organization;
   d. A domestic or foreign for-profit organization; and
   e. A Federal agency, but only as a subrecipient under an award or subaward to a non-Federal entity.

4. **Subaward**:
   a. This term means a legal instrument to provide support for the performance of any portion of the substantive project or program for which you received this award and that you as the recipient award to an eligible subrecipient.
   b. The term does not include your procurement of property and services needed to carry out the project or program (for further explanation, see Sec __.210 of the attachment to OMB Circular A-133, “Audits of States, Local Governments, and Non-Profit Organizations”).

C. Expected Program Outputs and Reporting Requirements

Universities must provide a state program report, using the State Reporting System version 5 (SRS5) which was due November 1, 2012. The FY 2013 report will be due November 1, 2013.

Universities must provide an EFNEP Annual Update, an EFNEP Budget Sheet and an EFNEP Budget Justification, using the Web-Based Nutrition Education Evaluation and Reporting System (WebNEERS). The Annual Update is not required in FY 2013 but will be due July 1, 2013 for FY 2014. A final Budget Sheet and Budget Justification based on the allocation amount listed on the Appendix A is due through WebNEERS on the same date that this application is due to Grants.gov, June 7, 2013.

Please note, this is a new process; the Budget Sheet and Budget Justification should not be attached to the Supplemental Information Form as they have in years past. Instructions for submitting these documents through WebNEERS are available on our EFNEP FGO Webpage – http://www.nifa.usda.gov/nea/food/efnep/formula_grant.html. If you need information about or access to WebNEERS, contact your university’s EFNEP Coordinator.

All program specific forms (state program report, annual update, budget, and budget justification must be received and approved by NIFA program staff before this FGO can be fully approved and funds released.
Program coordinators, or their designees, are expected to fully participate in the annual EFNEP meeting, webinars, and other EFNEP trainings.

Financial reporting via the SF-425, Federal Financial Report, for FY 2013 is due to the Formula Grants Branch of the Office of Grants and Financial Management on April 1, 2014, for the period October 1, 2012, through September 30, 2013, and annually thereafter until the award is closed out.

EFNEP funds are expected to be fully expended in the fiscal year of appropriation; however funds may be carried over for up to four (4) years after the end of the year for which they were appropriated. No prior approval from NIFA is required. However, no additional carry-over requests may be considered or approved as no legislative authority to do so is provided. Reports should be submitted to formulagrantforms@nifa.usda.gov.
PART VII—AGENCY CONTACT

For questions regarding EFNEP, please contact: Stephanie Blake, Program Specialist, Institute of Food Safety and Nutrition, NIFA/USDA, Stop 2225, 1400 Independence Avenue, SW, Washington, DC 20250-2225; Telephone: (202) 720-6079; Fax: (202) 720-9366; E-mail: sblake@nifa.usda.gov; or Helen Chipman, National Program Leader, Institute of Food Safety and Nutrition, NIFA/USDA, Stop 2225, 1400 Independence Avenue, SW, Washington, DC 20250-2225; Telephone: (202) 720-8067; Fax: (202) 720-9366; E-mail: hchipman@nifa.usda.gov.

For questions relating to the completion of the grants.gov forms, please contact: Brenda Barnett OGFM, NIFA/USDA, Stop 2271, 1400 Independence Avenue, SW, Washington, DC 20250-2271; Telephone: (202) 401-6520; Fax: (202) 401-1804; e-mail: formulagrantquestions@nifa.usda.gov.

If help is needed with the Grants.gov registration process for the applicant organization, downloading or navigating PDF forms, using Adobe Reader with a Macintosh computer or with the technical aspects of submitting to the Grants.gov system, check the resources available on the Grants.gov Web site first (http://www.grants.gov). Grants.gov assistance is also available as follows:

Grants.gov customer support
1-800-518-4726 Toll Free or 606-545-5035
Business Hours: 24 hours a day, 7 days a week. Closed on Federal Holidays.
Email: support@grants.gov

If the AR has not received a confirmation message from Grants.gov within 48 hours of submission of an application, please contact Grants.gov customer support.

When Grants.gov is contacted, a ticket number is issued to track the response. The applicant should maintain the ticket number as documentation of electronic issues associated with the application.

Grants.gov Help Desk Operations Process is described below:

Tier 1: Grants.gov Contact Center Operator
- Handles the initial contact and attempts to resolve the call.
- If not, files a research request (escalation).

Tier 2: Research Analyst
- Escalations go to the research analyst who does further research on the issue.
- Unable to resolve, issue escalated to Grants.gov Program Management Office (PMO).

Tier 3: Grants.gov PMO
- Research Analyst works with PMO to resolve the issue.
- Typical escalations: Policy questions/System bugs/Training or Meeting Requests.
# FY 2013 Distribution of Expanded Food Nutrition Education Program (EFNEP) Funding

Authorized Under the Smith-Lever Act, Section 3(d)

## Appendix A

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University of Maryland-Eastern Shore  MD  2013-41510-08909  100,000

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Payment to States  
Federal Administration  
Total  

Note: An additional $180,000, has been included in Clemson's FY 2013 (Off-The-Top) Allocation to sustain and upgrade Web-NEERS  *

FY 2013 Allocation is subject to change upon final Appropriation.