# Renewable Resource Extension Act – National Focus Fund Projects (RREA-NFF)

# FY 2017 Request for Applications (RFA)

APPLICATION DEADLINE: July 10, 2017

**ELIGIBILITY: See Part III, A of RFA** 



United States
Department of
Agriculture

National Institute of Food and Agriculture

# NATIONAL INSTITUTE OF FOOD AND AGRICULTURE; U.S. DEPARTMENT OF AGRICULTURE

#### RENEWABLE RESOURCES EXTENSION ACT - NATIONAL FOCUS FUND PROJECTS

#### INITIAL ANNOUNCEMENT

**CATALOG OF FEDERAL DOMESTIC ASSISTANCE:** This program is listed in the Catalog of Federal Domestic Assistance under **10.500**.

**DATES:** Applications must be received by **5 p.m. Eastern Time** on **July 10, 2017**. Applications received after this deadline will normally not be considered for funding (see Part IV, C of this RFA). Comments regarding this request for applications (RFA) are requested within six months from the issuance of this notice. Comments received after that date will be considered to the extent practicable.

**STAKEHOLDER INPUT:** We at the National Institute of Food and Agriculture (NIFA) seek your comments about this RFA. We will consider your comments when we develop the next RFA for the program, if applicable, and we'll use them to meet the requirements of section 103(c)(2) of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613(c)(2)). Submit your written stakeholder comments by the deadline set forth in the DATES portion of this notice via email to Policy@nifa.usda.gov. (This email address is only for receiving comments regarding this RFA and <u>not</u> for requesting information or forms.) In your comments, please state that you are responding to the Renewable Resource Extension Act – National Focus Fund Projects RFA.

**EXECUTIVE SUMMARY:** NIFA requests applications for the Renewable Resource Extension Act (RREA) – National Focus Fund Projects (NFF) for fiscal year (FY) 2017 to provide for expanded and comprehensive extension programs for forest and rangeland renewable resources at a national, regional, or multi-institutional level. The anticipated amount available for grants in FY 2017 is approximately \$300,000. This RFA is being released prior to the passage of an appropriations act for FY 2017. Enactment of additional continuing resolutions or an appropriations act may affect the availability or level of funding for this program.

This notice identifies the objectives for NFF projects, deadline dates, funding information, eligibility criteria for projects and applicants, and application forms and associated instructions needed to apply for a NFF grant.

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#### PART I—FUNDING OPPORTUNITY DESCRIPTION

#### A. Legislative Authority and Background

The Renewable Resources Extension Act (RREA) of 1978 (Pub. L. 95-306, 92 Stat. 349, 16 U.S.C. 1671 et seq.) provides for an expanded and comprehensive extension program for forest and rangeland renewable resources. Since FY 2002, the majority of the appropriated funds (over 92% of the Payments to States Amount) are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state; the remaining amount (approximately 8%) is used to support the National Focus Fund (NFF). For FY 2017 the available amount for NFF grants is approximately \$300,000. NFF funds are used to support projects at a national or regional scale which expand the reach of Extension forestry and rangeland programs through better program coordination and delivery, use of innovative technologies, and Extension program models that can be easily replicated by other institutions and regions.

# **B.** Purpose and Priorities

The goal of the NFF program is to enhance the sustainability of the nation's forest and rangeland resources and to enable landowners and managers to achieve their desired goals and objectives by making resource management decisions based on sound research findings. Forest and rangeland resources include vegetation, water, fisheries and wildlife, soil, and recreation. These projects must maximize the capacity, reach, and impact of the Cooperative Extension System – Extension Forestry and Rangeland Programs and must directly work across state boundaries to share expertise to address common problems. The NFF addresses the sustainable use of natural resources, by providing funding to the Cooperative Extension System to conduct educational programs for private forestland owners that contribute to well-managed forests and the nation's and world's fiber supply in support of rural economic systems.

The focus areas for FY 2017 NFF are those derived from the FY 2012-2016 RREA Strategic Plan available at <a href="http://nifa.usda.gov/resource/fy-2012-2016-rrea-strategic-plan">http://nifa.usda.gov/resource/fy-2012-2016-rrea-strategic-plan</a> along with stakeholder input. NFF is aligned with the NIFA Strategic Plan (<a href="https://nifa.usda.gov/resource/nifa-strategic-plan-fy2014-fy2018">https://nifa.usda.gov/resource/nifa-strategic-plan-fy2014-fy2018</a>), and specifically addresses Strategic Goal 1 (Science), to optimize the production of goods and services from working lands while protecting the Nation's natural resource base and environment (Subgoal 1.3)

#### Priority Area - Usable Science

NIFA is soliciting applications for projects for NFF that develop and apply a usable science framework to maximize the capacity, reach, and impact of the Cooperative Extension System – Extension Forestry and Rangeland Programs. Usable science, as defined in this RFA, is new science findings (within the past five years) that meet the changing needs of decision makers by informing and including the decision makers throughout the scientific process. To better meet the needs of landowners and managers, projects should emphasize strategies and extension programs that integrate ranchers, farmers, land managers, and scientists in the process of identifying the challenges facing rangelands and forests, while collaboratively working together to apply the solutions. Projects submitted to the NFF program will also require Extension programs to develop improved mechanisms for

communication and cooperation among diverse groups who require different information. Identifying and transferring the right kinds of knowledge and in the right form to the right users is crucial to improving land stewardship.

In addition, program planning must align with the FY2012-2016 RREA Strategic Plan, available at <a href="https://nifa.usda.gov/resource/fy-2012-2016-rrea-strategic-plan">https://nifa.usda.gov/resource/fy-2012-2016-rrea-strategic-plan</a>.

#### PART II—AWARD INFORMATION

#### A. Available Funding

The anticipated amount available for NFF grants in FY 2017 is approximately \$300,000. This RFA is being released prior to the passage of an appropriations act for FY 2017. Enactment of additional continuing resolutions or an appropriations act may affect the availability or level of funding for this program. There is no commitment by USDA to fund any particular application or to make a specific number of awards.

The Automated Standard Applications for Payment System (ASAP), operated by the Department of Treasury's Bureau of the Fiscal Service, is the designated payment system for awards resulting from this RFA. For more information see

https://www.fiscal.treasury.gov/fsservices/gov/pmt/asap/asap\_home.htm.

# **B.** Types of Applications

In FY 2017, you may submit applications to the NFF Program as one of the following types of requests:

(1) New application. This is a project application that has not been previously submitted to the NFF Program. We will review all new applications competitively using the screening for administrative requirements, review panel evaluation of proposals using evaluation criteria and selection process described in Part V—Application Review Requirements.

(2) Resubmitted application. This is an application that had previously been submitted to the NFF Program but not funded. Project Directors (PDs) must respond to the previous review panel summary (see Response to Previous Review, Part IV). We must receive resubmitted applications by the same due date as New Applications. The peer review panel will evaluate resubmitted applications in competition with other pending applications in the appropriate area to which they are assigned and review them according to the same evaluation criteria (Part V, B) as new applications.

#### C. Project Types

The following are NIFA funding estimates for FY 2017, number of awards anticipated, maximum annual project budgets, and acceptable project periods for -NFF.

Priority Area:	Type of	Funding	Maximum	Maximum	Number of
	Applications:	Estimate FY	Total Project	Duration	Awards
		2017	Budget		Anticipated
					FY 2017
Usable	New,	\$300,000	\$75,000	24 Months	4
Science	Resubmitted				

#### PART III—ELIGIBILITY INFORMATION

# A. Eligible Applicants

Applications may only be submitted by 1862 and 1890 land-grant institutions. Project Directors must have an extension appointment. An extension appointment is a formal appointment by an Extension Director/Administrator in the institution's cooperative extension service. Failure to meet an eligibility criterion by the application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

# **B.** Cost Sharing or Matching

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

#### PART IV—APPLICATION AND SUBMISSION INFORMATION

#### A. Electronic Application Package

Only electronic applications may be submitted via Grants.gov to NIFA in response to this RFA. We urge you to submit early to the Grants.gov system. For information about the pre-award phase of the grant lifecycle see <a href="http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html">http://www.grants.gov/web/grants/learn-grants/grants-101/pre-award-phase.html</a>.

#### **New Users of Grants.gov**

Prior to preparing an application, we recommend that the Project Director/Principal Investigator (PD/PI) first contact an Authorized Representative (AR, also referred to as Authorized Organizational Representative, or AOR) to determine if the organization is prepared to submit electronic applications through Grants.gov. If not (e.g., the institution/organization is new to the electronic grant application process through Grants.gov), then the one-time registration process must be completed **PRIOR** to submitting an application. It can take as long as two weeks to complete the registration process so it is critical to begin as soon as possible. In such situations, the AR should go to "Register," in the top right corner of the Grants.gov web page (or go to <a href="http://www.grants.gov/web/grants/register.html">http://www.grants.gov/web/grants/register.html</a>), for information on registering the institution/organization with Grants.gov. Part II,1 of the NIFA Grants.gov Application Guide contains detailed information regarding the registration process. Refer to item 2, below, to locate the "NIFA Grants.gov Application Guide."

#### **Steps to Obtain Application Package Materials**

To receive application materials:

- You must download and install a version of <u>Adobe Reader</u> compatible with Grants.gov to access, complete, and submit applications. For basic system requirements and download instructions, see <a href="http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html">http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html</a>. Grants.gov has a test package that will help you determine whether your current version of Adobe Reader is compatible.
- 2. To obtain the application package from Grants.gov, go to <a href="http://www.grants.gov/web/grants/applicants/download-application-package.html">http://www.grants.gov/web/grants/applicants/download-application-package.html</a> and enter the funding opportunity number

#### Funding Opportunity Number: USDA-NIFA-OP-006359

From the search result, click "Select Package" to access the application package. A Grant Application Package is tied to a particular funding opportunity. You may submit an application ONLY to the particular funding opportunity to which the Grant Application Package is associated.

Contained within the application package is the "NIFA Grants.gov Application Guide." This guide contains an introduction and general Grants.gov instructions, information about how to

use a Grant Application Package in Grants.gov, and instructions on how to complete the application forms.

**If you require assistance to access the application package** (e.g., downloading or navigating Adobe forms) **or submitting the application,** refer to resources available on the Grants.gov website (<a href="http://www.grants.gov/web/grants/applicants/applicant-tools-and-tips.html">http://www.grants.gov/web/grants/applicants/applicant-tools-and-tips.html</a>). Grants.gov assistance is also available at:

Grants.gov customer support

800-518-4726 Toll-Free or 606-545-5035

Business Hours: 24 hours a day, 7 days a week. Closed on <u>federal holidays</u>.

Email: <a href="mailto:support@grants.gov">support@grants.gov</a>

Grants.gov iPortal (see <a href="https://grants-portal.psc.gov/Welcome.aspx?pt=Grants">https://grants-portal.psc.gov/Welcome.aspx?pt=Grants</a>): Top 10 requested help topics (FAQs), Searchable knowledge base, self-service ticketing and ticket status, and live web chat (available 7 a.m. - 9 p.m. EST).

Have the following information available when contacting Grants.gov:

- Funding Opportunity Number (FON)
- Name of agency you are applying to
- Specific area of concern

# **B.** Content and Form of Application Submission

You should prepare electronic applications following Parts V and VI of the NIFA Grants.gov Application Guide. This guide is part of the corresponding application package (see Section A of this part). The following is **additional information** you need to prepare an application in response to this RFA. **If there is discrepancy between the two documents, the information contained in this RFA is overriding.** 

Note the attachment requirements (e.g., PDF) in Part III, Section 3 of the guide. <u>ANY PROPOSALS THAT ARE NON-COMPLIANT WITH THE REQUIREMENTS (e.g., content format, PDF file format, file name restrictions, and no password protected files) WILL BE AT RISK OF BEING EXCLUDED FROM NIFA REVIEW. Grants.gov does not check for NIFA required attachments or whether attachments are in PDF format; see Part III, Section 6.1 of the guide for how to check the manifest of submitted files. Partial applications will be excluded from NIFA review. We will accept subsequent submissions of an application until close of business on the closing date in the RFA (see Part V, 2.1 of the NIFA Grants.gov Application Guide for further information).</u>

**For any questions related to the preparation of an application,** review the NIFA Grants.gov Application Guide and the applicable RFA. If assistance is still needed for preparing application forms content, contact:

- Email: electronic@nifa.usda.gov
- Phone: 202-401-5048
- Business hours: Monday through Friday, 7 a.m. 5 p.m. EST, excluding federal holidays.

#### 1. SF 424 R&R Cover Sheet

Information related to the questions on this form is dealt with in detail in Part V, 2 of the NIFA Grants.gov Application Guide. See Part V, Section 2.18 of the NIFA Grants.gov Application Guide for the required certifications and assurances (e.g., Prohibition Against Entities Requiring Certain Internal Confidentiality Agreements).

#### 2. SF 424 R&R Project/Performance Site Location(s)

Detailed information related to the questions on this form is available in Part V, 3 of the NIFA Grants.gov Application Guide.

#### 3. R&R Other Project Information Form

Detailed information related to the questions on this form is available in Part V, 4 of the NIFA Grants.gov Application Guide.

# a. Field 7. Project Summary/Abstract.

The summary should also include the relevance of the project to the goals of NFF. See Part V. 4.7 of NIFA Grants.gov Application Guide for further instructions and a link to a suggested template.

#### b. Field 8. Project Narrative.

The Project Narrative shall not exceed 20 pages (all of which must be numbered sequentially) of written text (double-spaced), figures and tables. The first sentence of the Project Narrative must specifically state if the proposal is a NEW application or RESUBMITTED application, and the Priority Area for which the application is being submitted. Applications exceeding the page limit will be excluded from NIFA review. This maximum (20 pages) has been established to ensure fair and equitable competition. Title the attachment "Project Narrative" in the document header and save file as "Project Narrative". The Project Narrative must conform to the required sections for each priority area, and must be clearly labeled to include all of the sections listed below, as applicable. Incomplete applications, (e.g. missing sections) will be excluded from NIFA review. The Project Narrative must include all of the following sections:

**Title**. Provide an appropriate title for the project.

**Subtitle**. State the Priority Area (from Part I, Section B that the proposal addresses.

**Introduction**. The introduction should include a clear statement of the long-term goal(s) and supporting objectives for the proposed project, and the nature of the issue that the project is intended to address.

**Justification, Rationale, and Significance**. Concisely present the justification for why this project is important to forest and rangeland owners at a national or regional scale and how they will benefit. New and innovative ideas, program delivery approaches, or products should be clearly and explicitly described. Demonstrate the feasibility of the proposed project through preliminary data.

**Relevant Literature Review**. Provide a narrowly-focused and concise review of relevant literature that supports the need and importance of the project (not to exceed 2 pages).

**Objectives**. Include clear, concise, complete and logically arranged objective statements.

# **Approach**. This section must:

- Identify the project stakeholders and how they will be involved in the project and its evaluation;
- Include an Extension logic model (in the form of a figure) for the proposed project and a narrative description of the inputs, outputs, participants, short- and medium-term outcomes, and projected/estimated impacts (long-term outcomes). A generic logic model is available at: <a href="https://nifa.usda.gov/resource/integrated-programs-logic-model-planning-process">https://nifa.usda.gov/resource/integrated-programs-logic-model-planning-process</a>.
- Describe the theory-based non-formal adult education principles that are relevant to the project;
- Describe the proposed outputs and activities and the sequence in which the activities are to be performed;
- Provide the activities' how, when, and where;
- Include expected outputs;
- Include expected outcomes;
- Provide a timeline for the project; and
- A detailed evaluation plan for the project:
  - o Who will be involved in performing the evaluation;
  - o For outputs, activities, participants, outputs, and outcomes what will be measured, how, and when; and
  - o How the evaluation results will be reported, where, and to whom.

**Project Sustainability and Replication**. Describe plans for assisting other institutions/states/regions in replicating the project. Describe any plans for sustaining the project beyond the project period.

#### c. Field 12. Add Other Attachments

See Part V. Section 4.12 of the NIFA Grants.gov Application Guide (Field 12 on the form) for instructions regarding mandatory Felony Convictions or Tax Delinquent Status.

#### 4. R&R Senior/Key Person Profile (Expanded)

Detailed information related to the questions on this form is available in Part V, 5 of the NIFA Grants.gov Application Guide. This section of the guide includes instructions about senior/key person profile requirements, and details about the biographical sketch and the current and pending support, including a link to a suggested template for the current and pending support.

**5.** <u>R&R Personal Data</u> – As noted in Part V, 6 of the NIFA Grants.gov Application Guide, the submission of this information is voluntary and is not a precondition of award. Part V.6 also notes the importance and use of the information.

#### 6. R&R Budget

Detailed information related to the questions on this form is available in Part V, 7 of the NIFA Grants.gov Application Guide.

The budget must support the outputs and outcomes described in the logical model and in the Approach section. Provide a narrative justification for each of the budget lines. Include travel to the Association of Natural Resources Extension Professionals (ANREP) Biennial Conference and/or other professional meeting(s) where you will present the project and its accomplishments.

# 7. Supplemental Information Form

Detailed information related to the questions on this form is available in Part VI, 1 of the NIFA Grants.gov Application Guide.

- **a. Field 2. Program to which you are applying.** Enter the program code name (i.e., enter "**Renewable Resource**") and the program code (i.e., enter "**ME**"). Note that accurate entry of the program code is very important for proper and timely processing of an application.
- **b. Field 8. Conflict of Interest List**. See Part VI, 1.8 of the NIFA Grants.gov Application Guide for further instructions and a link to a suggested template.

#### C. Submission Dates and Times

We recommend that you conduct an administrative review of the application before submission of it via Grants.gov to ensure that it complies with all preparation instructions. An application checklist is included in Part VII of the NIFA Grants.gov Application Guide to assist with this review.

Instructions for submitting an application are included in Part IV, Section 1.9 of the NIFA Grants.gov Application Guide.

Applications must be received by Grants.gov by **5 p.m. Eastern Time on July 11, 2017**. Applications received after this deadline will normally not be considered for funding.

If you have trouble submitting an application to Grants.gov, you should FIRST contact the Grants.gov Help Desk to resolve any problems. Keep a record of any such correspondence. See Part IV. A for Grants.gov contact information.

We send email correspondence to the AR regarding the status of submitted applications. We strongly encourage you to provide accurate email addresses, where designated, on the SF-424 R&R Application for Federal Assistance.

If the AR has not received correspondence **from NIFA** regarding a submitted application within 30 days of the established deadline, contact the Agency Contact identified in Part VII of the RFA and request the proposal number assigned to the application. **Failure to do so may result in the application not being considered for funding by the peer review panel. Once the application has been assigned a proposal number, you should cite this number on all future correspondence.** 

# **D. Funding Restrictions**

RREA is an Extension-only program therefore research cannot be conducted with NFF funds.

Indirect costs are not allowed. Funds may not be spent on tuition remission, food, or gifts. The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards 2 CFR Part 200 (§ 200.33) includes the following, "Equipment means tangible personal property (including information technology systems) having a useful life of more than one year and a per-unit acquisition cost which equals or exceeds the lesser of the capitalization level established by the non-Federal entity for financial statement purposes, or \$5,000." See also §§200.12 Capital assets, 200.20 Computing devices, 200.48 General purpose equipment, 200.58 Information technology systems, 200.89 Special purpose equipment, and 200.94 Supplies. Equipment costing more than \$2,000 must be fully justified in the Budget Narrative.

# E. Other Submission Requirements

You should follow the submission requirements noted in Part IV, Section 1.9 in the document entitled "NIFA Grants.gov Application Guide."

For information about the **status of a submitted application**, see Part III, Section 6 of the NIFA Grants.gov Application Guide.

## PART V—APPLICATION REVIEW REQUIREMENTS

#### A. General

We evaluate each application in a two-part process. First, we screen each application to ensure that it meets the administrative requirements as set forth in this RFA. Second, a technical review panel will evaluate applications that meet the administrative requirements.

We select reviewers based upon their training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- the level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- the need to include experts from various areas of specialization within relevant scientific, education, or extension fields;
- the need to include other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- the need to include experts from a variety of organizational types (e.g., colleges, universities, industry, state and federal agencies, and private profit and non-profit organizations) and geographic locations;
- the need to maintain a balanced composition with regard to minority and female representation and an equitable age distribution; and
- the need to include reviewers who can judge the effective usefulness of each application to producers and the general public.

After the peer review panel has completed its deliberations, the responsible program staff of the RREA NFF Program will recommend that your project be approved for support from currently available funds or be declined due to insufficient funds or unfavorable review.

NFF reserves the right to negotiate with the Project Director (PD) and/or with the submitting organization or institution regarding project revisions (e.g., reductions in the scope of work, funding level, period, or method of support) prior to recommending any project for funding.

We will send copies of reviews, <u>not</u> including the identity of reviewers, and a summary of the panel comments to the PD after the review process has been completed.

#### **B.** Evaluation Criteria

We will use the evaluation criteria below to review applications submitted in response to this RFA.

Applications are evaluated primarily for project merit with evaluation of the project justification, project relevance and project team, qualifications, adequacy of facilities and project management to determine if there is an adequate justification and relevance to the program as

# well as the necessary qualifications, expertise, and facilities are available to complete the project as proposed.

## 1. Project Justification

o The issue that the project will address is well-defined and described.

#### 2. Project Merit

- The proposed objectives, outputs, outcomes, and impacts are clearly described, adequate, and appropriate;
- o The project utilizes theory-based non-formal adult education principles;
- o The project approaches and procedures are original, clearly described, and feasible;
- The project is innovative in focus, methodology, program delivery, and products;
   and
- The project outcomes and projected impacts are clearly stated, measurable, and achievable.

#### 3. Project Relevance

- o Adequacy of proposal in response to items required in the Project Narrative;
- Evidence that partners and stakeholders play an active role in setting project direction and involved throughout the course of the project;
- O Planning and implementing methods for evaluating success of project activities and documenting potential impact in alignment with measurable short and midterm outcomes; and
- o Demonstration of feasibility through preliminary and current relevant data.

# 4. Project Personnel, Adequacy of Facilities and Equipment, Project Timeline, and Evaluation Plan

- o Roles of key personnel are clearly described;
- o Key personnel have exemplary expertise to complete the proposed project;
- Project personnel have extensive, documented experience in developing, leading, managing and evaluating extension programs;
- O Detailed, logical, and achievable timeline of project activities from project initiation through project completion and submission of final report;
- Clear evidence of staff and budget resources to plan and conduct the project evaluation; and
- Evidence of institutional capacity, competence, and experience in the proposed area of work is provided.

# C. Conflicts of Interest and Confidentiality

During the peer evaluation process, we take extreme care to prevent any actual or perceived conflicts of interest that may impact review or evaluation. See <a href="http://www.nifa.usda.gov/business/competitive\_peer\_review.html">http://www.nifa.usda.gov/business/competitive\_peer\_review.html</a> for further information about conflicts of interest and confidentiality as related to the peer review process.

# **D.** Organizational Management Information

Specific management information relating to an applicant shall be submitted one-time, with updates on an as-needed basis. This requirement is part of the responsibility determined prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. We will provide you copies of forms recommended for use in fulfilling these requirements as part of the pre-award process. Although an applicant may be eligible based on its status as one of these entities, there are factors that may exclude an applicant from receiving federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information).

# E. Application Disposition

An application may be withdrawn at any time before a final funding decision is made regarding the application. Each application that is not selected for funding, including those that are withdrawn, will be retained by NFF for a period of three years.

#### PART VI—AWARD ADMINISTRATION

#### A. General

Within the limit of funds available for such purpose, the NIFA awarding official shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in this RFA. The date specified by the NIFA awarding official as the effective date of the grant shall be no later than September 30 of the federal fiscal year in which the project is approved for support and funds are appropriated for such purpose, unless otherwise permitted by law. The project need not be initiated on the grant effective date, but as soon thereafter as practical so that project goals may be attained within the funded project period. All funds granted by NIFA under this RFA may be used only for the purpose for which they are granted in accordance with the approved application and budget, regulations, terms and conditions of the award, applicable federal cost principles, USDA assistance regulations, and NIFA General Awards Administration Provisions at 7 CFR part 3430, subparts A through E.

#### **B.** Award Notice

The award document will provide pertinent instructions and information including, at a minimum, the information described in 2 CFR 200.210.

See <a href="http://www.nifa.usda.gov/business/awards/awardterms.html">http://www.nifa.usda.gov/business/awards/awardterms.html</a> to view current NIFA award terms and conditions.

# C. Administrative and National Policy Requirements

Several federal statutes and regulations apply to grant applications considered for review and to project grants awarded under this program. These may include, but are not limited to, the ones listed on the NIFA web page – http://nifa.usda.gov/federal-regulations.

NIFA Federal Assistance Policy Guide—a compendium of basic NIFA policies and procedures that apply to all NIFA awards, unless there are statutory, regulatory, or award-specific requirements to the contrary—is available at <a href="http://nifa.usda.gov/policy-guide">http://nifa.usda.gov/policy-guide</a>.

# **D. Expected Program Outputs and Reporting Requirements**

The output and reporting requirements are included in the award terms and conditions (see <a href="http://www.nifa.usda.gov/business/awards/awardterms.html">http://www.nifa.usda.gov/business/awards/awardterms.html</a> for information about NIFA award terms). If there are any program or award-specific award terms, those, if any, will be identified in the award.

Grantees are to use REEport, NIFA's electronic, web-based inventory system to submit an initial project initiation which documents expected products and outcomes of the project. Additionally, annual progress report documenting realized project outcomes must be submitted to the electronic system. The web-based system facilitates an electronic workflow between grantees and NIFA for project accomplishments to be easily searchable and allows for public access to information on

Federally-funded projects. The details of these reporting requirements, including those specific to the annual and final technical reports, are included in the award terms and conditions.

#### PART VII—AGENCY CONTACT

Applicants and other interested parties are encouraged to contact:

Programmatic Contacts -

Eric R. Norland

National Program Leader, Forest Resource Management: Division of Environmental Systems

3190 Waterfront Centre Mail Stop 2210

USDA National Institute of Food and Agriculture

800 9th Street, SW Washington, DC 20024

Phone: (202) 401 - 5971 Fax: (202) 401 - 1706

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#### PART VIII—OTHER INFORMATION

# A. Use of Funds; Changes

#### 1. Delegation of Fiscal Responsibility

Unless the terms and conditions of the award state otherwise, awardees may not in whole or in part delegate or transfer to another person, institution, or organization the responsibility for use or expenditure of award funds.

## 2. Changes in Budget or Project Plans

In accordance with <u>2 CFR 200.308</u>, awardees must request prior approval from NIFA for the following program or budget-related reasons:

- (i) Change in the scope or the objective of the project or program (even if there is no associated budget revision requiring prior written approval).
  - (ii) Change in a key person specified in the application or the federal award.
- (iii) The disengagement from the project for more than three months, or a 25 percent reduction in time devoted to the project, by the approved project director or principal investigator.
- (iv) The inclusion, unless waived by the federal awarding agency, of costs that require prior approval in accordance with 2 CFR 200 Subpart E—Cost Principles of this part or 45 CFR Part 75 Appendix IX, "Principles for Determining Costs Applicable to Research and Development under Awards and Contracts with Hospitals," or 48 CFR Part 31, "Contract Cost Principles and Procedures," as applicable.
- (v) The transfer of funds budgeted for participant support costs as defined in §200.75 Participant support costs to other categories of expense.
- (vi) Unless described in the application and funded in the approved federal awards, the subawarding, transferring or contracting out of any work under a federal award, including fixed amount subawards as described in §200.332 Fixed amount subawards. This provision does not apply to the acquisition of supplies, material, equipment, or general support services.
  - (vii) Changes in the approved cost-sharing or matching provided by the non-federal entity.
  - (viii) The need arises for additional federal funds to complete the project.

The awardee will be subject to the terms and conditions identified in the award. See <a href="http://www.nifa.usda.gov/business/awards/awardterms.html">http://www.nifa.usda.gov/business/awards/awardterms.html</a> for information about NIFA award terms.

# **B.** Confidential Aspects of Applications and Awards

When an application results in an award, it becomes a part of the record of NIFA transactions, available to the public upon specific request. Information that the Secretary of Agriculture determines to be of a confidential, privileged, or proprietary nature will be held in confidence to the extent permitted by law. Therefore, any information that the applicant wishes to have considered as confidential, privileged, or proprietary should be clearly marked within the application. We will retain for three years a copy of an application that does not result in an award. Such an application will be

released only with the consent of the applicant or to the extent required by law. An application may be withdrawn at any time prior to the final action thereon.

# C. Regulatory Information

For the reasons set forth in the final Rule related Notice to 2 CFR Part 415, Subpart C, this program is excluded from the scope of the Executive Order 12372, which requires intergovernmental consultation with state and local officials. Under the provisions of the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35), the collection of information requirements contained in this notice have been approved under OMB Document No. 0524-0039.

#### D. Definitions

Please refer to <u>7 CFR 3430</u>, <u>Competitive and Noncompetitive Non-formula Financial Assistance</u> <u>Programs--General Award Administrative Provisions</u>, for applicable definitions for this NIFA grant program.

For the purpose of this program, the following additional definition is applicable:

RANGELAND means land supporting indigenous vegetation that either is grazed or that has the potential to be grazed, and is managed as a natural ecosystem. Rangeland includes grasslands, savannas, grazable forestlands, shrub lands, pasturelands, many deserts, tundra, alpine communities, marshland and meadows.