



REQUEST FOR APPLICATION

Higher Education Challenge (HEC) Grants Program

MODIFICATION: This RFA is an updated version of the FY 2020-FY 2021 solicitation. It includes only the references to FY 2021 funding; FY 2020 references have been eliminated. General updates and edits appear in dark red on pages 2, 3, 9, 17, 31.

FUNDING YEAR:

Fiscal Year 2021

APPLICATION DEADLINE:

March 18, 2021

LETTER OF INTENT DEADLINE:

Not Required

ANTICIPATED FUNDING AVAILABLE:

Approximately \$4.5 million

ASSISTANCE LISTING NUMBER:

10.217

INITIAL ANNOUNCEMENT

National Institute of Food and Agriculture, United States (U.S.) Department of Agriculture (USDA)

Catalog of Federal Domestic Assistance. The higher Education Challenge (HEC) Grants Program is listed in the Assistance Listings under the Catalog of Federal Domestic Assistance number 10.217.

Table 1: Key Dates and Deadlines

Task Description	Deadline
Application:	Fiscal Year 2021: Fiscal Year 2021: March 18, 2021
Letter of Intent:	Not Required
Applicants Comments:	Within six months from the issuance of this notice (NIFA may not consider comments received after the sixth month)

Stakeholder Input

The National Institute of Food and Agriculture (NIFA) seeks comments on all request for applications (RFAs) so it can deliver programs efficiently, effectively, with integrity, and with a focus on customer service. NIFA considers comments, to the extent possible when developing RFAs and use comments to help meet the requirements of [Section 103\(c\)\(2\) of the Agricultural Research, Extension, and Education Reform Act of 1998 \(7 U.S.C. 7613\(c\)\(2\)\)](#). Applicants may submit written comments to Policy@usda.gov (email is for comments only). Please use the following subject line: Response to the Higher Education Challenge (HEC) Grants Program RFA.

EXECUTIVE SUMMARY

This notice identifies the objectives for HEC projects, deadlines, funding information, eligibility criteria for projects and applicants, and application forms and associated instructions. NIFA requests applications for the Higher Education Challenge (HEC) Grants Program for FY 2021 to enable colleges and universities to provide the quality of education necessary to produce baccalaureate or higher degree level graduates capable of strengthening the nation's food and agricultural scientific and professional workforce. The anticipated amount available for grants in FY 2021 is approximately \$4.5 million.

This RFA is being released prior to the passage of an appropriations act for FY 2021. Enactment of additional continuing resolutions or a full appropriations act may affect the availability or level of funding for this program.

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PART I. FUNDING OPPORTUNITY DESCRIPTION

A. Legislative Authority

Section 1405 of the [National Agricultural Research, Extension, and Teaching Policy Act of 1977](#) (NARETPA), as amended, ([7 U.S.C. 3121](#)) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food, agricultural, natural resources, and human sciences. Authority for this program is contained in section 1417(b)(1) of NARETPA ([7 U.S.C. 3152\(b\)\(1\)](#)).

Section 1417 of NARETPA authorizes the Secretary of Agriculture, who has delegated the authority to the Director of NIFA, to make competitive grants to strengthen institutional capacities to respond to identified state, regional, national or international educational needs to formulate and administer programs to enhance college and university teaching in agriculture, natural resources, forestry, veterinary medicine, and family and consumer sciences., disciplines closely allied to the food and agricultural system, and rural economic, community and business development.

B. Purpose and Priorities

The purpose of HEC is to strengthen institutional capacities, including curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, to respond to identified State, regional, national, or international educational needs in the food and agricultural sciences, or in rural economic, community, and business development.

Specifically, applications submitted to this grants program must state how the funded project will address the HEC Program Goals:

1. To strengthen institutional capacities, including curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, to respond to identified State, regional, national, or international educational needs in the food and agricultural sciences, or in rural economic, community, and business development;
2. To attract and support undergraduate and graduate students in order to educate the students in national need areas of the food and agricultural sciences, or in rural economic, community, and business development;
3. To facilitate cooperative initiatives between two or more eligible institutions, or between eligible institutions and units of State government or organizations in the private sector, to maximize the development and use of resources such as faculty, facilities, and equipment to improve food and agricultural sciences teaching programs, or teaching programs emphasizing rural economic, community, and business development;
4. To design and implement food and agricultural programs, or programs emphasizing rural economic, community, and business development, to build teaching, research, and extension capacity at colleges and universities having significant minority enrollments;
5. To conduct undergraduate scholarship programs to meet national and international needs for training food and agricultural scientists and professionals, or professionals in rural economic, community, and business development; and
6. To increase the number and diversity of students who will pursue and complete a postsecondary degree in the food and agricultural sciences.
7. To enhance the quality of instruction for baccalaureate degrees, master's degrees, and first professional degrees in veterinary sciences, in order to help meet current and future

workforce needs in the food and agricultural sciences.

8. To conduct graduate and postdoctoral fellowship programs to attract highly promising individuals to research or teaching careers in the food and agricultural sciences.

HEC is a NIFA-administered competitive grants program focused on improving formal, baccalaureate, or master's degree level food and agricultural sciences education, and first professional degree- level education in veterinary medicine (DVM). HEC projects provide funding to eligible applicants to help ensure a competent, qualified and diverse workforce will exist to serve the food and agricultural sciences system. At the same time, HEC-funded projects improve the economic health and viability of communities through the development of degree programs emphasizing new and emerging employment opportunities. Finally, HEC projects address the national challenge to increase the number and diversity of students entering the food and agricultural sciences (i.e., having a food and agricultural sciences workforce representative of the nation's population).

The HEC projects are expected to: (a) produce measurable impacts aligned with HEC program goals, (b) promote innovative, educational practices within the food and agricultural sciences that improve how students learn, and (c) include a rigorous evaluation component to assess that project outcomes are met. Institutions must demonstrate capacity for, and a significant ongoing commitment to, the teaching of food, agricultural and human sciences generally, and to the specific need and/or discipline(s) for which a grant is requested. Projects should encourage academic institutions, in partnership with organizations and employers, to work collectively to identify and address a state or regional challenge or opportunity facing the food and agricultural sciences education and workforce community. Additionally, projects should encourage broad participation of students traditionally underrepresented in the food and agricultural sciences. An application must convince a peer panel of a compelling educational challenge; clearly indicate how the methodology is both unique and with merit; offer significant promise of adoption by others; and include a plan for how the project impacts will be sustained once grant funds end.

eXtension: HEC encourages (but does not require) projects that develop content suitable for delivery through [eXtension](#).

Global Engagement: NIFA supports global engagement that advances U.S. agricultural goals. NIFA recognizes that collaboration with international partners may be necessary to attain the agency's goals for U.S. agriculture, promote global competence of our nation's future agricultural workforce, and promote safe and nutritious food security in a growing world. Therefore, although application to this RFA is limited to eligible U.S. institutions, applicants may collaborate with international partners, to include subcontracts to international partners or other institutions. Applications must clearly demonstrate benefits to the U.S.

Leadership Skills Development: The development of leadership skills, knowledge, and qualities are necessary to prepare students for agricultural and related careers in the private sector, government, and academia. HEC teaching applications must demonstrably incorporate a leadership development component to equip students with technical and leadership abilities upon graduation. Specific activities may include:

1. Developing practical applications to increase understanding of leadership roles, including critical thinking, problem solving, and communication skills; ethics and professionalism; and working in teams;

2. Connecting the academic classroom experience with daily leadership roles and organizational activities;
3. Providing opportunities for mentoring and shadowing; and
4. Organizing leadership academies, workshops, trainings, etc.

Social and Behavioral Sciences: HEC supports social and behavioral science disciplines. Projects that integrate social and biological sciences are encouraged. Incorporation of social and behavioral sciences is important for addressing many of the challenges facing agriculture and rural communities, such as increasing global demand for food production in the face of limited natural resources; improving health and reducing obesity by engaging in healthy diets; and alleviating poverty by fostering economic opportunity.

The HEC is aligned with the following [USDA Strategic Goal #4](#): Facilitate Rural Prosperity and Economic Development; Objective 4.1: Expand rural business opportunity and rural quality of life with access to capital; improved infrastructure, broadband access and connectivity; and support for workforce availability.

Table 2: Program Key Information

Title	Description
Program Code:	ER
Program Code Name:	Higher Education Challenge Grants Program
Assistance Listing Number	10.217
Project Type:	Education/Teaching
Grant Type:	Planning Activity; Standard Grant; Collaborative Grant Type 1 (CG1);
Application Deadline	Collaborative Grant Type 2 (CG2) FY 2021: March 18, 2021
Grant Duration:	Planning Activity – Up to 24 months; Standard – 24-36 months; Collaborative 1 (CG1) – 24 to 36 months; Collaborative 2 (CG2) – 36 months
Anticipated # of Awards:	2 total grants for Planning Activity, Standard, and Collaborative (CG1); 2 Collaborative 2 grant, and 1 other HEC grant type
Maximum Award Amount:	Planning Activity – Approx. \$30,000; Standard – Approx. \$150,000; Collaborative 1 (CG1) – Approx. \$300,000; Collaborative 2 (CG2) – Approx.\$750,000

Additional Information

1. The total number of grants awarded by this grant program will depend on the number and type of meritorious applications submitted in response to this notice. There is no predetermined number of proposals that may be awarded in any grant cycle.

2. Based on the project scope and budget of all applications selected for awards, the actual, individual grant amounts awarded by NIFA under this grants program may differ from the funds requested by the applicant. In such cases, revised budgets and revised plans of work may be required by NIFA before an award is made.
3. There is no limit on the number of applications that may be submitted by an applicant institution. However, a successful applicant institution/organization will only be awarded a maximum of two grants per year under this program. No more than one CG2 grant will be awarded to an institution/organization in any one, annual HEC grants program competition, and generally, an individual academic (or other) unit (a college, school of agriculture, etc.) within the institution/organization may only have one active, CG2 grant in effect at any time.
4. There are no limits on the total grant program funds that may be awarded to any one institution/organization in successive years of this competition. Successful award recipients may apply for additional awards in subsequent years either as a lead applicant or collaborator.

Projects must primarily focus on baccalaureate, masters, or DVM level education. However, a project may also include an ancillary, target impact on either secondary, or two-year postsecondary students. Projects with a primary target audience of K-14 students or teachers should consider the Secondary Education, Two-Year Postsecondary Education and Agriculture in the K-12 Classroom (SPECAs) Grants Program offered through NIFA.

PART II. AWARD INFORMATION

A. Available Funding

The anticipated amount available for HEC in FY 2021 is approximately \$4,500,000. USDA is not committed to fund any application or to make a specific number of awards. **This RFA is being released prior to the passage of an appropriations act for FY 2021. Enactment of additional continuing resolutions or a full appropriations act may affect the availability or level of funding for this program USDA is not committed to fund any application or to make a specific number of awards.**

The [Automated Standard Application for Payments](#), operated by the Department of Treasury, Bureau of Fiscal Service, is the designated payment system for awards resulting from this RFA.

B. Types of Applications

NIFA will evaluate applications using the criteria described in [Part V](#) of this RFA. Applications for FY 2021 are limited to the following types:

1. *New application*: New applications will be evaluated using the criteria described in [Part V](#) of this RFA and are subject to the due dates herein (see [Appendix III](#) for definition).
2. *Resubmitted application*: Resubmitted applications must include the response to the previous review panel summary and are subject to the same criteria and due dates herein. Resubmitted applicants must enter the NIFA-assigned proposal number of the previously submitted application in the *Federal Field (Field 4)* on the application form (see [Appendix III](#) for definition).

C. Project and Grant Types

The following describes the types of *projects* or *grants* that are eligible for funding:

1. Project Types: Applicants must propose Education/Teaching Projects

An education or teaching project must develop human capital in order to help meet current and future national food and agricultural sciences workplace needs.

HEC projects must focus on one or more of the Need Areas listed below. Please note that at least one of these Need Areas must be indicated on the Project Summary (See [Part IV, B.](#)). Need Areas for FY2021 are defined below:

Educational Need Areas

Projects must engage more than a single course or an individual instructor when addressing at least one of the three Educational Need Areas listed below. The rationale for selecting the Educational Need Area(s) must be explained in the context of how the project can contribute to the development of a cadre of students who will either pursue higher degrees in the food and agricultural sciences or be prepared to enter the food and agricultural sciences workforce.

Educational Need Areas are as follows:

- a. *Curriculum Development, Instructional Delivery Systems and Expanding Student Career Opportunities*

Projects should promote new and improved curricula and materials to increase the quality of, and continuously renew, the nation's academic programs in the

food and agricultural sciences. Additionally, projects should stimulate the development, and facilitate the use, of exemplary education models and materials that incorporate the most recent advances in subject matter research, research on teaching and learning theory, and instructional technology.

Examples of eligible projects in this Need Area include:

- i. Development of courses of study, degree programs, and instructional materials;
- ii. Use of new approaches to the study of traditional subjects;
- iii. Introduction of new subjects, or new applications of knowledge, pertaining to the food and agricultural sciences;
- iv. Hands-on learning experiences and methods to extend learning beyond the classroom and provide students with opportunities to solve complex problems in the context of real-world situations; or
- v. Opportunities for students to complete apprenticeships, internships, career mentoring experiences, or other participatory learning experiences.

Projects are to develop students' analytical, interpersonal, leadership, communications, problem-solving, computational, and decision-making skills and abilities. Projects that focus on integrated, multidisciplinary, learner-centered instruction should be considered. Furthermore, projects are expected to reach large audiences efficiently and effectively; reinforce recent research on how to motivate students to learn, retain, apply, and transfer knowledge, skills, and competencies; and integrate and synthesize knowledge from several disciplines.

b. *Faculty Preparation and Enhancement for Teaching*

Projects should advance faculty development in the areas of teaching competency, subject matter expertise, pedagogy, responsiveness to changes in student demographic composition and learning styles, and student recruitment and advising skills. Training of faculty must be relevant to the identified educational needs of students. Any individual recipient of federal funds must be an "eligible participant" as defined in the definitions section of this RFA ([Appendix III](#)).

Examples of eligible projects in this Need Area include activities that enable teaching faculty to:

- i. Develop a self-sustaining model for faculty professional development that better prepares new faculty for teaching careers, or provides retraining for experienced faculty;
- ii. Gain experience with recent developments or innovative technology relevant to their teaching responsibilities;
- iii. Work with scientists or professionals in government, industry, or other colleges or universities to learn new applications in a field;
- iv. Expand competence with new methods of information delivery;
- v. Create assessments that document student learning outcomes or that identify conceptual areas or skills particularly challenging to students, followed by appropriate changes in instructional approaches to effectively

- address these issues; or
 - vi. Increase utilization of teaching methods that address the special needs of non- traditional students or students from groups that are underrepresented in the food and agricultural sciences workforce.
- c. *Facilitating Interaction with Other Academic Institutions*

This need area promotes linkages between baccalaureate degree-granting institutions to maximize the use of resources supporting outstanding education in the food and agricultural sciences. Additionally, this need area supports linkages between baccalaureate degree-granting institutions, secondary, and/or 2-year postsecondary institutions to make instruction targeted at undergraduate students available to secondary students as advanced placement credit or as transfer credit from associate-degree programs into baccalaureate-level programs. Faculty research sabbaticals at other academic institutions that will enhance teaching and advising are also supported.

Examples of eligible projects in this Need Area may include:

- i. Development and use of articulation agreements, 2+2 or 2+2+2 arrangements, advanced placement credit transfer, or the sharing of faculty and facilities; a project that focuses on developing and implementing comprehensive, multi- institutional practices proven to recruit and retain K-14 level students with a focus on cultivating those students to pursue a 4-year food and agricultural sciences degree.
- ii. A program to reduce duplication of similar educational resources across institutions and to increase instructional efficiencies. Examples may include multi-institutional or interstate approaches to curriculum development, faculty sharing, cross enrollments, joint degrees, regionalization of academic programs, regional and national workshops and symposia, and similar methodologies.

2. Grant Types: Applicants must select the appropriate grant type from below.

Planning Activity: Planning Activity Grants support meetings that bring together food and agricultural educators to identify education/teaching needs, update information, or advance an area of education/teaching. Support for a limited number of meetings covering subject matter encompassed by this solicitation will be considered for partial or, if modest, total support. Individual planning activity grants must not exceed \$30,000 for up to two years and are not renewable. Indirect costs are not permitted on Planning Activity grant awards. Planning Activity Grants may be used to facilitate strategic planning session(s) required of faculty, industry, professional association, community leaders, or other necessary participants for the specific purpose of developing a formal plan leading to a subsequent submission of a Collaborative Grant as described herein. A Planning Activity grant application may not be submitted in the same year for which a Collaborative Grant application for the same project is also submitted.

Standard Grant: Standard Grants support targeted original education/teaching projects. An eligible, individual institution, independent branch campus, or branch institution of a

State system may submit a grant application for project activities to be undertaken principally on behalf of its own students or faculty, and to be managed primarily by its own personnel. The applicant executes the project without the requirement of sharing grant funds with other project partners. Applicants may request up to \$150,000 (total, not per year) for a Standard Grant application.

Collaborative Grants: Collaborative Grants support projects with at least one additional partner or a multi-partner approach to enhance education/teaching programs.

Collaborative Grants should build linkages to generate a critical mass of expertise, skill and technology to address education/teaching programs related to the food and agricultural sciences. Grants can reduce duplication of efforts and/or build capacity and should be organized and led by a strong applicant with documented project management knowledge and skills to organize and carry out the initiative.

Collaborative Grant Type 1 (CG1) (Applicant + One Partner): Applicants may request up to \$300,000 (total, not per year) for a CG1 project. In this type of project, the applicant executes the project with assistance from one additional partner. The partners must share grant funds. Specifically, the applicant institution will transfer at least one-half of the awarded funds to the other institution participating in the project.

Collaborative Grant Type 2 (CG2) (Applicant + Two or more Partners): Applicants may request up to \$750,000 (total, not per year) for a CG2 project. The applicant executes the project with assistance from at least two additional partners. The additional partners must share grant funds. The applicant institution/organization submitting a CG2 proposal must retain at least 30 per cent, but not more than 70 percent of the awarded funds and no cooperating entity may receive less than 10 percent of awarded funds. A CG2 project differs from a CG1 in project scope and impact. CG2 projects must support a multi-partner approach to solving a major state or regional challenge in food and agricultural sciences education at the baccalaureate, masters or DVM level. CG2 projects are characterized by multiple partners (each providing a specific expertise) organized and led by a strong applicant with documented project management knowledge and skills to organize and carry out the initiative.

Reported impacts of CG2 projects must include (but are not limited to) the following:

- a. assessment of significant progress toward addressing the national challenge of An increasing the number and diversity of food and agricultural sciences baccalaureate or higher degree graduates, while addressing the specific state or regional opportunity defined in the grant application;
- b. Documented enhanced retention rates of students in food and agricultural majors exposed to CG2 Project activities;
- c. A comprehensive project evaluation, using the indicators and methods defined in this RFA, that informs the baccalaureate, masters or DVM food and agricultural sciences community and others about the effectiveness of this CG2 Project through the dissemination of results via publications, blogs, conferences/meetings, etc.;
- d. A description of completed or ongoing activities supported by this CG2 Project accompanied with a description of those same activities that will be sustained once grant funds end; and
- e. A description of any related activities expected to occur as an outgrowth of this

funded project.

D. Ethical Conduct of Funded Projects

In accordance with sections [2, 3, and 8 of 2 CFR Part 422](#), institutions that conduct USDA-funded extramural research must foster an atmosphere conducive to research integrity, bear primary responsibility for prevention and detection of research misconduct, and maintain and effectively communicate and train their staff regarding policies and procedures. In the event an application to NIFA results in an award, the Authorized Representative (AR) assures, through acceptance of the award that the institution will comply with the above requirements. Award recipients must, upon request, make available to NIFA the policies, procedures, and documentation to support the conduct of the training. See [Responsible and Ethical Conduct of Research](#) for further information.

PART III. ELIGIBILITY INFORMATION

A. Eligibility Requirements

Applicants for the HEC must meet all the requirements discussed in this RFA. Applications may be submitted by:

1. U.S. public or private nonprofit colleges and universities offering a baccalaureate or first professional degree in at least one discipline or area of the food and agricultural sciences;
2. land-grant colleges and universities, (including land grant institutions in the Insular Areas);
3. colleges and universities having significant minority enrollments and a demonstrable capacity to carry out the teaching of food and agricultural sciences; and
4. other colleges and universities having a demonstrable capacity to carry out the teaching of food and agricultural sciences.

An applicant also must meet the definition of an Eligible Institution/Organization as stated in this RFA (see [Appendix III](#)). For the purposes of this program, the individual branches of a state university or other system of higher education that are separately accredited as degree-granting institutions are treated as separate institutions and are therefore eligible for HEC awards.

Separate branches or campuses of a college or university that are not individually accredited as degree-granting institutions are not treated as separate institutions. An institution eligible to receive an award under this program includes a research foundation maintained by an eligible college or university. Accreditation must be by an agency or association recognized by the Secretary of the U.S. Department of Education.

United States citizenship is not required of faculty that wish to serve as PD, co-PD, or key personnel on a HEC grant. Any individual (eligible participant) receiving Federal funds (for developmental activities) must be a citizen or national of the United States, as defined in this RFA (see [Appendix III](#)). Failure to meet an eligibility criterion by the time of application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

The following applies to CG1 and CG2 applicants only: The applicant institution must meet the definition of “eligible institution” as specified in §3405.2(i); the other institutions participating in a CG1 and CG2 project proposals are not required to meet the definition of “eligible institution” as specified in §3405.2(i), nor required to meet the definition of “college” or “university” as specified in §3405.2(f).

Award recipients may subcontract to organizations not eligible to apply, provided such organizations are necessary for the conduct of the project. Failure to meet the eligibility criteria by the application deadline may result in exclusion from consideration or, preclude NIFA from making an award. For those new to Federal financial assistance, NIFA’s [Grants Overview](#) provides highly recommended information about grants and other resources to help understand the Federal awards process.

Duplicate or Multiple Submissions – duplicate or multiple submissions is not allowed. NIFA will disqualify both applications if an applicant submits duplicate or multiple submissions. For those new to Federal financial assistance, NIFA’s [Grants Overview](#) provides highly recommended information about grants and other resources to help understand the Federal awards process.

B. Cost Sharing or Matching

No Match Required - The HEC has **NO** matching requirement. NIFA will not factor matching resources into the review process as an evaluation criterion.

PART IV. APPLICATION AND SUBMISSION

A. Method of Application

Applicants must apply to this RFA electronically; no other method or response is accepted. The electronic application for this RFA and additional resources are available on [Grants.gov](https://www.grants.gov) and [Grants 101](#). **Table 3** provides instructions on how to obtain an electronic application. **Part II § 1** of the [NIFA Grants.gov Application Guide](#) (Application Guide) contains detailed information regarding the [Grants.gov](https://www.grants.gov) registration process.

Table 3. Steps to Obtain Application Materials

Steps	Action
Step One: Register	New Users to Grants.gov must register early with Grants.gov prior to submitting an application (Register Here).
Step Two: Download Adobe	Download and Install Adobe Reader (see Adobe Software Compatibility for basic system requirements).
Step Three: Find Application	Using this funding opportunity number USDA-NIFA-CGP-007858 , Search for application here: Opportunity Package .
Step Four: Assess Readiness	Contact an AR prior to starting an application to assess the organization's readiness to submit an electronic application.

Table 4: Help and Resources

Grants.gov Support	NIFA Support
<p>Grants.gov Online Support Telephone support: Toll Free 800-518-4726 or 606-545-5035 Email support: support@grants.gov Self-service customer-based support: Grants.gov iPortal</p> <p><i>Key Information: Customer service business Hours 24/7, except federal holidays.</i></p>	<p>Email: Policy@usda.gov</p> <p><i>Key Information:</i> Business hours: Monday through Friday 7:00 a.m. – 5:00 p.m. ET, except federal holidays</p>

B. Content and Form of the Application

The [Application Guide](#) is part of the corresponding application package for this RFA. The RFA overrides the [Application Guide](#) if there is a discrepancy between the two documents. NIFA will accept subsequent submissions to an application until the application deadline. However, applicants that do not meet the application requirements, to include partial applications, risk being excluded from NIFA's review. NIFA will assign a proposal number to all applications that meet the requirements of this RFA. Applicants must refer to the proposal number when corresponding with NIFA. **Table 5** outlines other key instructions for applicants.

Table 5: Key Application Instructions

Instruction	References (All references are to the <u>Application Guide</u>)
Attachments must be in a portable document format (PDF) format.	Part III § 3
Check the manifest of submitted files to verify attachments are in the correct format.	Part III § 6.1
Conduct an administrative review of the application before submission.	Part VII
Follow the submission instructions.	Part IV § 1.5
Provide an accurate email address, where designated, on the SF-424 R&R.	Part IV § 1.5
Contact the Grants.gov helpdesk for technical support, and keep a record of the correspondence.	N/A
Contact NIFA if applicant does not received correspondence from NIFA regarding an application within 30 days of the application deadline.	N/A

SF 424 R&R Cover Sheet: See **Part V § 2** and **Part V § 2.17** of the [Application Guide](#) for the required certifications and assurances.

SF 424 R&R Project/Performance Site Location(s): See **Part V § 3** of the [Application Guide](#).

R&R Other Project Information Form: See **Part V § 4** of the [Application Guide](#).

1. Field 7. Project Summary (PS)/Abstract: The PS is limited to one page and 250 words. A PS that exceeds this page requirement will not be accepted for review. The PS must show how the project goals align with the project goals of the HEC. See **Part V § 4.7** of the [Application Guide](#) for instructions and suggested templates.
2. Field 8. Project Narrative (PN): The PN must not exceed 20 *1.5 spaced* pages of written text and up to 5 *1.5 spaced* additional pages for figures and tables (the font size for tables should be no smaller than 11 points, Times New Roman). Figures and tables may come after the 20 pages of text, or be intertwined with the text (but they must not exceed 5 pages). The page limits outlined here ensure fair and equitable competition. Appendices to the PN are allowed if they are directly germane to the proposed project. Do not add appendices to circumvent the page limit. The PN must include all of the following:

Response to Previous Review

This requirement only applies to resubmitted applications as described in [Part II, B](#). The Project Narrative attachment is to include two components: 1) a one-page response to the previous review (containing the previous proposal number in the first line) titled “Response to Previous Review” as the first page of the attachment and 2) the 25-page Project Narrative, as required (see [Part IV, B](#).

above). The one-page “Response to Previous Review” does not count against the 25-page limit of the Project Narrative. In resubmitted applications, the response to previous review should come before the narrative cover page (which is discussed below).

The narrative cover page (first page of the Project Narrative) must include the information below and will not count towards the page limit of the Project Narrative.

- a. Project Title;
- b. Project Director (and any) Co-Project Director(s) (include institutional affiliation for each);
- c. Educational Need Area: ([Part II, C.](#));
- d. Projected number of students or faculty to be served by the project during the project period (i.e., using a new curriculum, instructional delivery system, student career opportunity; benefitting from a partnership between institutions; etc.);
- e. Primary Discipline Code/Academic Discipline: (Select one from the list below);
- f. Grant Type: Planning Activity, Standard, CG1, or CG2. (See ‘Definitions’, [Appendix III](#));
- g. Total Funds Requested: (List total Federal funds requested for this application. If this project is a CG1 Or CG2 Project Proposal, also list each partner’s total funds requested next to the institution/organization’s name); and
- h. Partners: If CG1 or CG2 Project Proposal, list all partner institutions/organizations that will share grant funding

Table 6: Academic Codes and Disciplines

Codes	Academic Codes and Disciplines
A	Animal Sciences
B	Related Biological Sciences (includes General/Basic Biotechnology, Biochemistry, and Microbiology)
C	Conservation and Renewable Natural Resources (includes Forestry)
D	Soil Sciences
E	Agricultural/Biological Engineering
F	Food Science/Technology and Manufacturing
G	General Food, agricultural and human sciences (includes multidisciplinary projects)
H	Human Sciences/Family and Consumer Sciences (excludes Human Nutrition)
I	International Education/Research (enhancement of U.S. programs)
J	Entomology – Animal
L	Environmental Sciences/Management
M	Agribusiness (includes Management, Marketing, and Agricultural Economics)
N	Human Nutrition
O	Other (Describe only if not listed above)
P	Plant Sciences and Horticulture
Q	Aquaculture
S	Agricultural Social Sciences (includes Agricultural Education, Agricultural Communications, and Rural Sociology)
T	Entomology – Plant
V	Veterinary Medicine/Science

W	Water Science
X	Data Science and Artificial Intelligence

Prepare the application using a standard size (8 1/2" x 11") page, one-inch margins, no type smaller than 12-point font, and double line spacing. Use an easily readable font face (e.g., Arial, Times New Roman). Information may not be appended to an application to circumvent page limitations prescribed for the Project Narrative.

In new applications, the narrative cover page should come before the table of contents. In resubmitted applications, please use this order: 1) response to previous review, 2) narrative cover page, 3) table of contents.

The Project Narrative must include all the following:

1. ***Potential for Advancing the Quality of Education; Significance of the Problem***

- a. *Identification of Educational Problem and Project Impact:* Briefly state: (1) the specific instructional problem/challenge (or opportunity) to be addressed; (2) the anticipated project audience; and (3) the project's target objectives (what change in education is proposed?) and its anticipated, overall impact on improving the quality of food and agricultural sciences education.
- b. *Project Justification:* Summarize the body of knowledge justifying the need for the proposed project. Discuss how the project's Educational Need Area ([Part II, C.](#)) will be of value at the state, regional, national, or international level(s). Where applicable, explain how it will improve the food and agricultural science capabilities of students. Describe any previously funded projects that are ongoing or recently completed that are related to the proposed project.
- c. *Institutional long-range Goals:* Explain how the project will help satisfy the institution/organization's high-priority objectives, or how the project is linked to and supported by the institution/organization's strategic plan.
- d. *Innovation:* Describe the proposal's creative approach to improving the quality of food and agricultural sciences education, solving an educational problem, or promoting programs that advance equal opportunity for all students. Support your choice, with actual experiences or literature.
- e. *Multidisciplinary and/or Problem-based Focus:* Indicate how the project is relevant to multiple disciplines in food and agricultural sciences education and how the project will enhance students' understanding of complex agricultural systems. Also, discuss whether the project may be adapted by, or serve as a model for other institutions.

2. ***Proposed Approach and Cooperative Linkages***

- a. *Plan of Operation and Methodology:* Describe procedures for accomplishing the objectives of the project. Describe plans for management of the project to ensure its proper and efficient administration. Describe the way in which resources and personnel will be used to conduct the project.
- b. *Timetable:* Identify all important project milestones (performance targets that indicate when project goals will be met) and dates as they relate to project start-up, execution, evaluation, dissemination, and closeout.
- c. *Evaluation Plans:* State the methodologies to be used in assessing the accomplishment of stated products, results, and measurable impacts from the project. Discuss the strategies and metrics for evaluating progress toward meeting the two HEC goals from

- Part I, B. Describe any data to be collected and analyzed. Demonstrate how the project will improve education. This section must clearly indicate how you plan to measure impacts. This is optional for Planning Activity grant applications. The following guidance will assist you with developing your evaluation plan:
- i. The evaluation may also include assessment of other outcomes, particularly if the project aims to change organizational structures, create cost- efficiencies, or achieve other ends. Explain the data gathering procedures that will be used to monitor and assess progress toward intended project goals. When describing the measurement instruments you plan to use (surveys, interviews, focus groups, assessments of e-portfolios or capstone projects, measures of class performance, scores on standardized tests, cost- benefit analyses, etc.), be sure to mention why they are appropriate to gauging success.
 - ii. The evaluation plan section should make a convincing case to reviewers that at the conclusion of the grant, the grantee could report the extent to which learning and engagement outcomes, professional development goals, and/or organizational outcomes have been achieved. Reviewers will be looking for evidence that the applicant thought about how to measure both successes and failures and what adjustments could be made to enhance program outcomes in the future. Collection of this evidence is critical to achieving HEC’s goal of making data about educational improvements and innovation available to the education community.
 - iii. The project budget should contain funding to either hire an outside project evaluator, or to present convincing evidence that an appropriate evaluator is already on staff and available to provide assistance with assessment and evaluation throughout the life of the project. As a guide, up to 10 percent of grant funds may be used to support this purpose. Be mindful if you chose this option to avoid conflicts of interest.
- d. *Dissemination Plans:* The application must document how project accomplishments (products, results and impacts, etc.) will be published or otherwise disseminated to the broadest extent throughout the academic community. This may include educational journals or other professional discipline publications, and presentations at regional or national conferences and workshops, including the HEC Project Directors’ Meeting. Discuss the institution/organization’s commitment to disseminate project results and products and the potential for institutionalization. Identify target audiences and explain methods of communication. This is optional for Planning Activity grant applications.
- e. *Partnerships and Collaborative Efforts:* Explain how the project will maximize partnership and collaborative efforts to strengthen food and agricultural sciences education (e.g., involvement of faculty in related disciplines at the same institution, CG1 or CG2 projects with other educational institution/organizations, or cooperative activities with business or industry). Provide evidence, via letters from the parties involved in the partnership or collaborative arrangement.
- f. *Potential Pitfalls, Limitations, and Alternatives (if applicable):* Identify and explain any potential challenges that might impede progress during the duration of the project. Additionally, describe any potential strategies or alternatives that might be implemented to address such challenges. This section is optional for all applications and therefore, it will not count against the applicant during the evaluation process.

3. ***Institutional Capability and Capacity Building***
 - a. *Institutional/organizational Commitment and Capability*: Demonstrate that the program administration is committed to this project and has the capability to ensure its completion.
 - b. *Institutional/organizational Resources*: Document the necessary institutional/organizational resources (administrative, facilities, equipment, and/or materials), and other appropriate resources, that will be made available to the project. Demonstrate how these resources, when combined with the support requested from USDA, will be adequate to carry out the activities of the project.
 - c. *Academic Enhancement*: Document how this project will improve and strengthen teaching at the institution (including any partner institutions/organizations). Include how any project performance target(s) identified above (2.b., Timetable) will be incorporated into academic instruction at the institution. Discuss how the benefits to be derived from the project will transcend the applicant institution/organization or the grant period.
 - d. *Continuation Plans*: Discuss the likelihood of, or specific plans for, continuation or expansion of the project beyond the period of USDA support. Describe how the institutions/organization's long-range budget or academic plan provides for the realistic continuation or expansion of the initiative undertaken by this project after the grant period ends. Explain the plans for eventual self-support built into the project, and the plans to institutionalize the program if it is successful. Describe any indications of other continuing non- federal support. Provide details of the expected outcomes, and the targeted audience that would benefit from the continuation of this project. **This is optional for Planning Activity grant applications.**
 4. ***Key Personnel***: Discuss the adequacy and specific attributes and project responsibilities of each key person associated with the project. Also include the background and qualifications of those personnel who will be responsible for assessing project results and administering the project evaluation and reporting process.
 5. ***Budget and Cost-effectiveness***
 - a. *Budget*: In addition to the separate, required budget forms and budget justification, discuss how the budget specifically supports the proposed project activities. Explain how such budget items as professional or technical staff time and salary, travel, equipment, etc., are necessary and reasonable to achieve project objectives. Justify that all funds requested from USDA are allocated adequately between the applicant and any collaborating institution/organization(s), and will be appropriate to carry out the activities of the project. If the application addresses more than one Educational Need Area (see [Part II, C.](#)), you must include estimates of the proportion of the funds requested from USDA that will support each respective area.
 - b. *Cost-effectiveness*: Justify the project's cost-effectiveness. Show how the project maximizes the use of limited resources, optimizes educational value for the dollar, achieves economies of scale, or leverages additional funds. For example, discuss how the project has the potential to generate a critical mass of expertise and activity focused on a need area.
3. Field 12, Add Other Attachments: See **Part V § 4.12** of the [Application Guide](#). ***Appendices to Project Narrative – PDF Attachment***. Title the attachment as “Appendices” in the document header and save file as “Appendices.” Appendices to the Project Narrative are allowed if they are directly germane to the proposed project. The addition of appendices may not be used to

circumvent the text and/or figures and tables page limitations.

Collaborative Arrangements – PDF Attachment: Title the attachment as “Collaborative Arrangements” in the document header and save file as “Collaborative Arrangement”. If it is necessary to enter into formal consulting or collaborative arrangements with others, such arrangements should be fully explained and justified. If the consultant(s) or collaborator(s) are known at the time of the application, a vitae or resume should be provided. In addition, evidence (e.g., letter of support signed by the AR of the consultant/collaborating organization) should be provided that the collaborators involved have agreed to render these services. The applicant also will be required to provide additional information on consultants and collaborators in the budget portion of the application.

Expected Outcomes – PDF Attachment: Title the attachment “Expected Outcomes” in the document header and name the file to be saved as “ExpectedOutcomes.” Please use a format, similar to what is provided below, to submit expected outcomes and attach it as the last page of the application. This is optional for Planning Activity grant applications.

Table 7: Expected Outcomes

Total expected impact during entire grant Period	Expected Number	Justification for Expected Numbers
Number of products to be developed through grant funds during the grant period (i.e., curricula, academic programs, recruitment/retention programs, materials, experiential learning opportunities)	N/A	N/A
Number of faculty supported by this grant for professional development during the grant period: participation in sabbaticals, workshops, conferences, etc.	N/A	N/A
Number of students who will indirectly benefit from the products produced from the grant during the grant period (i.e., using the curriculum/instrumentation, enrolled in the program, recruited or retained but not paid by the grant using scholarships, fellowships and assistantships).	N/A	N/A

R&R Senior/Key Person Profile (Expanded): See **Part V § 5** of the [Application Guide](#) for profile requirements, details about the biographical sketch, and suggested support templates.

R&R Personal Data: This information is voluntary and is not a precondition of award (see **Part V § 6** of the [Application Guide](#)).

R&R Budget: See **Part V § 7** of the [Application Guide](#).

Include the travel costs for the Project Director and any other key staff member(s) to attend at least one national Project Directors’ meeting, if offered, in Washington, DC, or other announced location during the lifetime of the grant.

Stipends to support students' experiential, academic learning activities outside of the traditional classroom are permitted (as long as such activities are clearly related to a student's degree program and are not extracurricular). Stipends may be requested for materials or supplies to facilitate a student's broad exposure to research/field techniques and methodologies, as well as for reasonable travel expenses and per diem related to student educational experiences (e.g., field trips, data collection, and scientific meetings) directly supporting this funded project. In order for the students to be provided a stipend, they must be currently matriculating in the institution(s) where the projects are being implemented. Stipends may not be used for tuition or scholarships. Any application primarily focused on providing student stipends should also include a plan demonstrating how the stipends will continue into the future once current grant funds expire.

Indirect costs (IDC) – See [Part IV § C](#) of this RFA for funding restrictions regarding indirect cost, and [Part V 7.9](#) of the [Application Guide](#) for additional information.

R&R Sub award Budget Attachment (Only required if submitting a CG1 or CG2 Project Proposal): Clearly identify the total grant funding anticipated for the applicant and each partner to demonstrate the required sharing percentage. Further itemized all expenditures for the applicant and all partners in the Budget Justification. Funds do not have to be divided equally among project years. Remember that each sub-awardee must have a budget and cumulative for each year they participate in the grant project.

Data Management Plan: A DMP is required for this program. Applicants should clearly articulate how the project director (PD) and co-PDs plan to manage and disseminate the data generated by the project. The DMP will be considered during the merit review process (see [Part V § B](#) of this RFA, [Part III § 3.1 of the Application Guide](#) and [NIFA's Data Management Plan](#)).

Supplemental Information Form: See [Part VI § 1](#) of the [Application Guide](#).

1. Field 2. Program to which the applicant is applying. Enter the program name Higher Education Challenge Grants Program and the program code ER. Accurate entry is critical.
2. Field 8. Conflict of Interest List. See [Part VI § 1.8](#) of the [Application Guide](#).

Representations Regarding Felony Conviction and Tax Delinquent Status for Corporate Applicants: This is required for corporate applicants. See [Part VI § 2](#) of the [Application Guide](#) for a description of the term, "corporation."

C. Funding Restrictions

Indirect Cost (IDC) are not to exceed 30 percent of Total Federal Funds Awarded (TFFA) of the recipient. Section 1462(a) and (c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) limits IDC for the overall award to 30 percent of Total Federal Funds Awarded (TFFA) under a research, education, or extension grant. The maximum IDC rate allowed under the award is determined by calculating the amount of IDC using:

1. The sum of an institution's negotiated indirect cost rate and the indirect cost rate charged by sub-awardees, if any; or
2. 30 percent of TFFA.

The maximum allowable IDC rate under the award, including the IDC charged by the sub-awardee(s), if any, is the lesser of the two rates.

If the result of number one is the lesser of the two rates, the grant recipient is allowed to charge the negotiated IDC rate on the prime award and the sub-award(s), if any. Any sub-awards would be subject to the sub-awardee's negotiated IDC rate. The sub-awardee may charge its negotiated IDC rate on its portion of the award, provided the sum of the IDC rate charged under the award by the prime awardee and the sub-awardee(s) does not exceed 30 percent of the TFFA.

If the result of number two is the lesser of the two rates, then the maximum IDC rate allowed for the overall award, including any sub-award(s), is limited to 30 percent of the TFFA. That is, the IDC of the prime awardee plus the sum of the IDC charged by the sub-awardee(s), if any, may not exceed 30 percent of the TFFA.

In the event of an award, the prime awardee is responsible for ensuring the maximum indirect cost allowed for the award is not exceeded when combining IDC for the Federal portion (i.e., prime and sub-awardee(s)) and any applicable cost-sharing (see [7 CFR 3430.52\(b\)](#)). Amounts exceeding the maximum allowable IDC are considered unallowable. See sections [408 and 410 of 2 CFR 200](#).

Successful applicants must not use grant funds awarded under the authority of this RFA to renovate or refurbish research, education, or extension space; purchase or install fixed equipment in such space; or to plan, repair, rehabilitate, acquire, or construct buildings or facilities.

PART V. APPLICATION REVIEW REQUIREMENTS

A. NIFA's Evaluation Process

NIFA evaluates each application in a two-part process. First, we screen each application to ensure that it meets the administrative requirements as set forth in this RFA. Second, a scientific peer-review process will be used to technically evaluate applications that meet the administrative requirements using a review panel (see [NIFA Peer Review Process](#)).

Scientific Peer Review Process:

NIFA selects reviewers for the review panel based upon their training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- The need to include experts from various areas of specialization within relevant scientific, education, or extension fields;
- The need to include other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- The need to include experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, and private profit and non-profit organizations) and geographic locations;
- The need to maintain a balanced composition with regard to minority and female representation and an equitable age distribution; and
- The need to include reviewers who can judge the effective usefulness of each application to producers and the general public.

After each peer review panel has completed its deliberations, the responsible program staff of NIFA will recommend that your project is either approved for support from currently available funds or declined due to insufficient funds or unfavorable review.

NIFA reserves the right to negotiate with the PD/PI and/or the submitting organization or institution regarding project revisions (e.g., reductions in the scope of work, funding level, period, or method of support) prior to recommending any project for funding.

After the review process has been completed, NIFA sends copies of reviews, *not* including the identity of reviewers, and a summary (if applicable) of the review panel comments to the PD.

Conflicts of interest: NIFA takes extreme care to prevent any actual or perceived conflicts of interest that may influence the review or evaluation (see [NIFA Peer Review Process for Competitive Grant Applications](#)).

B. Evaluation Criteria

Each application will be evaluated by three peer reviewers. We will use the evaluation criteria below (which are listed in priority order of importance) to review grant applications submitted in response to this RFA:

1. *Potential for Advancing Quality of Education/Significance of the Problem:* This criterion is used to assess the likelihood that the project will have an impact upon and advance the quality of food and agricultural sciences by strengthening institutional capacities to meet clearly delineated needs. Elements considered include institutional long-range goals, identification of a problem or opportunity to be addressed, justification for the project, innovation, advancing educational equity, multidisciplinary and/or problem-based focus, and potential for adoption by other institutions. The proposed project must also show its relevance to the goals of HEC. Priority will be given to
 - a. applications for teaching enhancement projects that demonstrate enhanced coordination among all types of institutions eligible for funding under this section; and
 - b. applications for teaching enhancement projects that focus on innovative, multidisciplinary education programs, material, and curricula.
2. *Proposed Approach and Cooperative Linkages:* This criterion relates to the soundness of the proposed approach including objectives, methodology, plan of operation, timetable, expected products and results, evaluation, and dissemination plans. The approach must be based on sound research concepts and educational principles and may be documented through background literature or actual institutional data. Emphasis is placed on the quality of educational or research support provided to the applicant institution/organization through its partnerships and collaborative initiatives, and on the potential cooperative linkages likely to evolve as a result of this project. Any perceived pitfalls and alternative strategies or approaches are addressed.
3. *Institutional Capability and Capacity Building:* This criterion relates to the institution's capability to perform the project and the degree to which the project will strengthen its teaching or research capacity. Elements include the institution's commitment to the project, the adequacy of institutional resources (administrative, facilities, equipment, and/or materials) available to carry out the project, potential for academic or research enhancement, and plans for project continuation or expansion beyond the period of USDA support. Institutional data must be included to show the institution's ability to support the proposed project.
4. *Key Personnel:* This criterion relates to the adequacy of the number, qualifications and expertise of key persons who will develop and carry out the project, and the qualifications of project personnel who will provide for the assessment of project results and impacts and the dissemination of these findings.
5. *Budget and Cost-Effectiveness:* This criterion relates to the extent to which the total budget adequately supports the project and is cost effective. Elements considered include the necessity and reasonableness of costs to carry out project activities and achieve project objectives; the appropriateness of budget allocations between the applicant and any collaborating institution(s); the adequacy of time committed to the project by key project personnel; and the degree to which the project maximizes the use of limited

resources, optimizes educational value for the dollar, achieves economies of scale, leverages additional funds, and focuses expertise and activity on high priority educational or research need areas.

C. Organizational Management Information

Applicants must submit specific management information relating to an applicant prior to an award and update the information as needed. Applicants may only have to update their information if they had previously provided the information under this or another NIFA program. NIFA provides the requisite forms during the pre-award process. Although an applicant may be eligible for award under this program, there are factors that may exclude an applicant from receiving federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual, or a determination that an applicant is not responsible).

D. Application Disposition

Applicants may withdraw at any time before NIFA makes a final funding decision. NIFA will retain all applications, including withdrawn applications and unfunded applications.

PART VI. AWARD ADMINISTRATION

A. General

Within the limit of funds authorized, the NIFA awarding official will make grants to responsible and eligible applicants whose applications are judged most meritorious under the procedures set forth in this RFA. The date specified by the NIFA awarding official as the effective date of the grant must be no later than September 30 of the federal fiscal year in which the project is approved for support and funds are appropriated for such purpose, unless otherwise permitted by law. The project need not be initiated on the grant effective date, but as soon thereafter as practical so that project goals may be attained within the funded project period. All funds granted by NIFA under this RFA may be used only for the purpose for which they are granted in accordance with the approved application and budget, regulations, terms and conditions of the award, applicable federal cost principles, USDA assistance regulations, and [NIFA General Awards Administration Provisions, 7 CFR part 3430, subparts A through E](#).

Award Notice The award document will provide pertinent instructions and information as described in [2 CFR 200.210](#) (see [NIFA's Terms and Conditions](#)).

B. Administrative and National Policy Requirements

Several federal statutes and regulations apply to grant applications and the projects outlined in this RFA (some are listed here: [Federal Regulations](#)). Unless specifically noted by statute or award-specific requirements, [NIFA Policy Guide](#) applies to all NIFA awards.

C. Expected Program Outputs and Reporting Requirements

The output and reporting requirements are included in the award terms and conditions (see [NIFA's Terms and Conditions](#)) for information about NIFA award terms). If there are any program or award-specific award terms, they will be identified in the award.

PART VII. OTHER INFORMATION

A. Use of Funds and Changes in Budget

Delegation of fiscal responsibility: Unless the terms and conditions of the award state otherwise, awardees may not in whole or in part delegate or transfer to another person, institution, or organization the responsibility for use or expenditure of award funds.

Changes in Budget or Project Plans: In accordance with [2 CFR 200.308](#), awardees must request prior approval from NIFA for the following program or budget-related reasons (the awardee is subject to the terms and conditions identified in the award):

1. Change in the scope or the objective of the project or program without prior written approval (even if there is no associated budget revision requiring);
2. Change in a key person specified in the application or the federal award;
3. Disengagement from the project for more than three months, or a 25 percent reduction in time devoted to the project;
4. Inclusion of costs that require prior approval in accordance with [2 CFR 200 Subpart E \(Cost Principles\)](#), or [45 CFR Part 75 Appendix IX, \(Principles for Determining Costs Applicable to Research and Development under Awards and Contracts with Hospitals\)](#), or 48 CFR, unless waived by the federal awarding agency,
5. [Part 31, Contract Cost Principles and Procedures](#);
6. Transfer of funds budgeted for participant support costs to other categories of expense ([§200.75 Participant support costs](#));
7. Sub-awarding, transferring or contracting out of any work under a federal award, including fixed amount sub-awards (see [§200.332, Fixed Amount Sub-awards](#)), unless described in the application and funded in the approved federal awards. This provision does not apply to the acquisition of supplies, material, equipment, or general support services;
8. Changes in the approved cost-sharing or matching provided by the non-federal entity; and
9. The need for additional federal funds to complete the project.

B. Confidential Aspects of Applications and Awards

When an application results in an award, it becomes a part of NIFA transaction records, which are available to the public. Information that the Secretary of Agriculture determines to be confidential, privileged, or proprietary in nature will be held in confidence to the extent permitted by law. Therefore, applicants should clearly mark any information within the application they wish to have considered as confidential, privileged, or proprietary. NIFA will retain a copy of an application that does not result in an award for three years. Such an application will be released only with the consent of the applicant or to the extent required by law. An applicant may withdraw at any time prior to the final action thereon.

C. Regulatory Information

This program is not subject to the provisions of [Executive Order 12372](#), which requires intergovernmental consultation with state and local officials. Under the provisions of the [Paperwork Reduction Act of 1995 \(44 U.S.C. Chapter 35\)](#), the collection of information requirements contained in this notice have been approved under [OMB Document No. 0524-0039](#).

APPENDIX I: AGENCY CONTACT

Programmatic Contact

Name	Email	Phone
Irma Lawrence	ILawrence@usda.gov	816-926-1905

For administrative questions related to

- Grants.gov, see Part IV of this RFA
- Other RFA or application questions, please email policy@usda.gov
- Awards under this RFA, please email awards@usda.gov

National Institute of Food and Agriculture
U.S. Department of Agriculture
P.O. Box 419205,
Mail Stop 10000,
Kansas City, MO 64141-6205

APPENDIX II: GLOSSARY OF TERMS

Name	Acronyms
Authorized Representative	AR
Agricultural Research, Extension, and Education reform Act of 1998	AREERA
Catalog of Federal Domestic Assistance	CFDA
Collaborative Grant Type 1	CG1
Collaborative Grant Type 2	CG2
Data Management Plan	DMP
Doctor of Veterinary Medicine	DVM
Fiscal Year	FY
Higher Education Challenge Grants Program	HEC
National Agricultural Research, Extension, and Teaching Policy Act of 1977	NARETPA
National Institute of Food and Agriculture	NIFA
Project Director	PD
Request for Application	RFA
Research, Education, and Economics	REE
Secondary Education, Two-Year Postsecondary Education and Agriculture in the K-12 Classroom	SPECA
United States Department of Agriculture	USDA

APPENDIX III: DEFINITIONS

Refer to 7 CFR 3430 [Competitive and Noncompetitive Non-formula Federal Assistance Programs – General Award Administrative Provisions](#) for additional definitions.

Terms	Definitions
Citizen or National of the United States	(1) a citizen or native resident of a State; or, (2) a person defined in the Immigration and Nationality Act, 8 U.S.C. 1101(a) (22), who, though not a citizen of the United States, owes permanent allegiance to the United States.
Collaborative Grant Type 1 (CG1) Project	An application for a project which will involve the applicant institution and one other college, university, community college, junior college, or other institution, each of which will assume a major role in the conduct of the proposed project.
Collaborative Grant Type 2 (CG2) Project	an application for a project: (1) which will involve the applicant institution/organization working in cooperation with two or more other entities not legally affiliated with the applicant institution, including other schools, colleges, universities, community colleges, junior colleges, units of State government, private sector organizations, or a consortium of institutions; and (2) where the applicant institution and each cooperating entity will assume a significant role in the conduct of the proposed project. Eligible institution means a land-grant college or university (including land-grant institutions in the Insular Areas), colleges and universities having significant minority enrollments and a demonstrable capacity to carry out the teaching of FANH sciences, and other U.S. colleges and universities having a demonstrable capacity to carry out the teaching of FANH sciences. An eligible institution includes a research foundation maintained by an eligible college or university.
Eligible Institution	a land-grant college or university (including land-grant institutions in the Insular Areas), colleges and universities having significant minority enrollments and a demonstrable capacity to carry out the teaching of FANH sciences, and other U.S. colleges and universities having a demonstrable capacity to carry out the teaching of FANH sciences. An eligible institution includes a research foundation maintained by an eligible college or university.
Eligible Participant	An individual who is a citizen or national of the United States as defined in this section.

Terms	Definitions
Institution of Higher Education	An educational institution in any State that: (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (2) is legally authorized within such State to provide a program of education beyond secondary education; (3) provides an educational program for which the institution awards a bachelor's degree or provides not less than a 2-year program that is acceptable for full credit toward such a degree; (4) is a public or other nonprofit institution; and (5) is accredited by a nationally recognized accrediting agency or association, or if not so accredited, is an institution that has been granted pre-accreditation status by such an agency or association that has been recognized by the Secretary of Education for the granting of pre-accreditation status, and the Secretary of Education has determined that there is satisfactory assurance that the institution will meet the accreditation standards of such an agency or association within a reasonable time.
Insular Area	The Commonwealth of Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and the Virgin Islands of the United States.
Matching	The process through which a grant recipient match awarded USDA funds with cash and in-kind contributions on a dollar-for-dollar basis. The matching funds must derive from non-Federal sources.
New Application	An application not previously submitted to a program.
Nonprofit or Nonprofit Organization	As applied to a school, agency, organization, or institution, means a school, agency, organization, or institution owned and operated by one or more nonprofit corporations or associations, no part of the net earnings of which inures, or may lawfully inure, to the benefit of any private shareholder or individual.
Outcomes	Specific, measurable project results and benefits that, when assessed and reported, indicate the project's plan of operation has been achieved.
Plan of Operation	A detailed, step-by-step description of how the applicant intends to accomplish the project's outcomes. At a minimum, the plan should include a timetable indicating how outcomes are achieved, a description of resources to be used or acquired, and the responsibilities expected of all project personnel.

Resubmitted Application	A project application that was previously submitted to a program, but the application was not funded.
Standard Project Proposal	A proposal for a project: (1) where the applicant institution will be the sole entity involved in the execution of the project; or (2) which will involve the applicant institution and one or more other entities, but where the involvement of the other entity(ies) does not meet the requirements for a collaborative grant type 1 or collaborative grant type 2 project proposal as defined in this section.
Teaching	formal classroom and/or laboratory instruction, or practicum experience in the FANH sciences and matters related thereto (such as faculty development, student recruitment and services, curriculum development, instructional materials and equipment, and innovative teaching methodologies) conducted by eligible educational institute.